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## Ultrafilters, monotone functions and pseudocompactness

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**Abstract.** In this article we, given a free ultrafilter  $p$  on  $\omega$ , consider the following classes of ultrafilters:

- (1)  $T(p)$  - the set of ultrafilters Rudin-Keisler equivalent to  $p$ ,
- (2)  $S(p) = \{q \in \omega^* : \exists f \in \omega^\omega, \text{ strictly increasing, such that } q = f^\beta(p)\}$ ,
- (3)  $I(p)$  - the set of strong Rudin-Blass predecessors of  $p$ ,
- (4)  $R(p)$  - the set of ultrafilters equivalent to  $p$  in the strong Rudin-Blass order,
- (5)  $P_{RB}(p)$  - the set of Rudin-Blass predecessors of  $p$ , and
- (6)  $P_{RK}(p)$  - the set of Rudin-Keisler predecessors of  $p$ ,

and analyze relationships between them. We introduce the semi- $P$ -points as those ultrafilters  $p \in \omega^*$  for which  $P_{RB}(p) = P_{RK}(p)$ , and investigate their relations with  $P$ -points, weak- $P$ -points and  $Q$ -points. In particular, we prove that for every semi- $P$ -point  $p$  its  $\alpha$ -th left power  ${}^\alpha p$  is a semi- $P$ -point, and we prove that non-semi- $P$ -points exist in  $ZFC$ . Further, we define an order  $\trianglelefteq$  in  $T(p)$  by  $r \trianglelefteq q$  if and only if  $r \in S(q)$ . We prove that  $(S(p), \trianglelefteq)$  is always downwards directed,  $(R(p), \trianglelefteq)$  is always downwards and upwards directed, and  $(T(p), \trianglelefteq)$  is linear if and only if  $p$  is selective.

We also characterize rapid ultrafilters as those ultrafilters  $p \in \omega^*$  for which  $R(p) \setminus S(p)$  is a dense subset of  $\omega^*$ .

A space  $X$  is  $M$ -pseudocompact (for  $M \subset \omega^*$ ) if for every sequence  $(U_n)_{n < \omega}$  of disjoint open subsets of  $X$ , there are  $q \in M$  and  $x \in X$  such that  $x = q\text{-lim}(U_n)$ ; that is,  $\{n < \omega : V \cap U_n \neq \emptyset\} \in q$  for every neighborhood  $V$  of  $x$ . The  $P_{RK}(p)$ -pseudocompact spaces were studied in [ST].

In this article we analyze  $M$ -pseudocompactness when  $M$  is one of the classes  $S(p)$ ,  $R(p)$ ,  $T(p)$ ,  $I(p)$ ,  $P_{RB}(p)$  and  $P_{RK}(p)$ . We prove that every Frolík space is  $S(p)$ -pseudocompact for every  $p \in \omega^*$ , and determine when a subspace  $X \subset \beta\omega$  with  $\omega \subset X$  is  $M$ -pseudocompact.

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## 0. Introduction, basic definitions and preliminaries

As usual,  $\omega$  represents the set of finite ordinals with the discrete topology. Its Stone-Čech compactification,  $\beta\omega$ , is considered as the set of ultrafilters on  $\omega$  equipped with the Stone topology. The remainder  $\omega^* = \beta\omega \setminus \omega$  consists of the free ultrafilters on  $\omega$ . For a function  $f : \omega \rightarrow \omega$ ,  $f^\beta : \beta\omega \rightarrow \beta\omega$  denotes the continuous extension of  $f$ . Note that  $f^\beta(p) \in \omega^*$  as long as  $f$  is not constant on a set in  $p$ . The *Rudin-Keisler* (pre)-order on ultrafilters is defined by  $p \leq_{RK} q$  if there is an  $f \in \omega^\omega$  such that  $p = f^\beta(q)$ .

Two ultrafilters  $p, q$  are of the same type ( $p \approx q$ ) if there is a permutation of  $\omega$  sending one ultrafilter to the other. For a subset  $B$  of  $\omega$ , a function  $f : B \rightarrow \omega$  is *strictly increasing* (resp., *non-decreasing*) on  $A \subset B$  if  $f(n) < f(m)$  (resp.,  $f(n) \leq f(m)$ ) for each  $n < m \in A$ ; and  $f : B \rightarrow \omega$  is *finite-to-one* on  $A \subset B$  if  $|f^{-1}(k) \cap A| < \omega$  for each  $k \in \omega$ . A function  $f$  is *strictly increasing* (resp., *non-decreasing*, *finite-to-one*) if it is *strictly increasing* (resp., *non-decreasing*, *finite-to-one*) on its domain. As usual, if  $f : X \rightarrow Y$  is a function and  $A \subset X$ , then  $f \upharpoonright A$  denotes the restriction of  $f$  to  $A$ . By  $Sym(\omega)$  we denote the set of permutations on  $\omega$  and by  ${}^\omega\swarrow\omega$  the set of strictly increasing functions.  $Nd(\omega)$  denotes the set of non-decreasing functions from  $\omega$  to  $\omega$ , and  $Fo(\omega)$  consists of the finite-to-one functions from  $\omega$  to  $\omega$ . If  $A$  is a subset of  $\omega$ ,  ${}^A\swarrow\omega = \{f \in \omega^\omega : f \text{ is strictly increasing on } A\}$ . Finally,  $P({}^\omega\swarrow\omega)$  consists of functions defined on an infinite subset of  $\omega$  which are strictly increasing in their domains.

The *Rudin-Blass* order,  $\leq_{RB}$ , is the variant of the Rudin-Keisler order where the witnessing function is required to be finite-to-one and *strong Rudin-Blass* order,  $\leq_{RB+}$  requires the witnessing function to be non-decreasing. For more information on these orderings consult [vM] and [LZ].

**Definition 0.1.** Let  $p \in \omega^*$ . Let:

- (1)  $T(p) = \{q \in \omega^* : \exists \sigma \in Sym(\omega) \quad q = \sigma^\beta(p)\}$ ,
- (2)  $S(p) = \{q \in \omega^* : \exists f \in {}^\omega\swarrow\omega \quad q = f^\beta(p)\}$ ,
- (3)  $R(p) = \{q \in \omega^* : \exists A \in p, f \in {}^A\swarrow\omega \quad q = f^\beta(p)\}$ ,
- (4)  $I(p) = \{q \in \omega^* : \exists f \in \omega^\omega \text{ non-decreasing} \quad q = f^\beta(p)\}$ ,
- (5)  $P_{RB}(p) = \{q \in \omega^* : \exists f \in \omega^\omega \text{ finite-to-one} \quad q = f^\beta(p)\}$ ,
- (6)  $P_{RK}(p) = \{q \in \omega^* : \exists f \in \omega^\omega \quad q = f^\beta(p)\}$ .

In Section 1 we study the relationship between the aforementioned classes in connection with special properties of ultrafilters. Note that the last two classes correspond to Rudin-Blass and Rudin-Keisler predecessors of  $p$ , while the class  $I(p)$  is (in the terminology of [LZ]) the class of strong Rudin-Blass predecessors of  $p$ . In [LZ] it is shown, among other things, that  $P_{RB}(p) = \bigcup\{T(q) : q \in I(p)\}$ . The set  $R(p)$  is the strong Rudin-Blass equivalence class of  $p$ , as we will verify later.

In Section 2, we introduce the notion and investigate the properties of *semi-P-points*, ultrafilters for which the classes of Rudin-Keisler and Rudin-Blass predecessors coincide. In particular we prove that for a semi- $P$ -point  $p$ , its left  $\alpha$ -th power  ${}^\alpha p$  is still a semi- $P$ -point, and we prove that non-semi- $P$ -points exist in  $ZFC$ .

It will also be shown, that this finer classification of ultrafilters, produces (for every  $p \in \omega^*$ ) an ordering  $\preceq$  (not a pre-ordering!) on the type of  $p$  (i.e. the class of ultrafilters indistinguishable from  $p$ ), defined by  $s \preceq q \Leftrightarrow s \in S(q)$  (see Definition 3.1 below). Properties of this order will be studied in Section 3.

The structure of ultrafilters on a countable set has been extensively studied during the past decades by both set-theorists and by topologists. They used purely set-theoretic methods to get topological concepts and to study topological properties of  $\beta\omega$  and  $\omega^*$  on one hand and on the other hand, translating topological facts about subspaces of  $\beta\omega$  to obtain information about the partial preorders defined on  $\beta\omega$  or  $\omega^*$ .

An example of this is the development of the study of covering and convergence properties of spaces modulo ultrafilters. An instance of this is the study of  $p$ -pseudocompactness and  $P_{RK}(p)$ -pseudocompactness introduced and analyzed in [GF] and [ST], respectively.

In this article we are going to refine the concept of  $P_{RK}(p)$ -pseudocompactness by considering a finer classification of ultrafilters based on the image via a strictly increasing function:  $S(p)$ -pseudocompactness,  $R(p)$ -pseudocompactness, etc. In Section 4 we will prove that every pseudocompact space belonging to the Frolík class satisfies these new conditions, and in Section 5 we will analyze those subspaces of  $\beta\omega$  which contain  $\omega$  and possess these new properties.

The following are (with one exception) standard:

**Definition 0.2.** *Let  $p \in \omega^*$ . Then:*

- (1)  $p$  is a  $P$ -point if for every partition  $\{I_n : n \in \omega\}$  of  $\omega$  into sets not in  $p$  there is an  $A \in p$  such that  $|A \cap I_n| < \aleph_0$  for every  $n \in \omega$ ,
- (2)  $p$  is a  $Q$ -point if for every partition  $\{I_n : n \in \omega\}$  of  $\omega$  into finite sets there is an  $A \in p$  such that  $|A \cap I_n| \leq 1$  for every  $n \in \omega$ ,
- (3)  $p$  is a  $Q'$ -point if for every partition  $\{I_n : n \in \omega\}$  of  $\omega$  into intervals there is an  $A \in p$  such that  $|A \cap I_n| \leq 1$  for every  $n \in \omega$ ,
- (4)  $p$  is selective if for every partition  $\{I_n : n \in \omega\}$  of  $\omega$  into sets not in  $p$  there is an  $A \in p$  such that  $|A \cap I_n| \leq 1$  for every  $n \in \omega$ .
- (5)  $p$  is rapid if for each function  $h \in \omega^\omega$ , there is  $A \in p$  with  $|A \cap h(n)| \leq n$  for every  $n < \omega$ .

It is obvious that every selective ultrafilter is both a  $P$ -point and a  $Q$ -point, and that every  $Q$ -point is a  $Q'$ -point. Also,  $p$  is selective if and only if it is both a  $P$ -point and a  $Q$ -point. Another property of selective ultrafilter  $p$  needed later on in the text is its Ramsey property: For every coloring  $\phi : [\omega]^2 \rightarrow 2$  there is an  $A \in p$  such that  $|\phi[[A]^2]| = 1$ ; in other words, all pairs in  $A$  are colored by the same color. The notion of a  $Q'$ -point is merely a matter of convenience and it will be shown in the text that  $p$  is a  $Q$ -point if and only if  $p$  is a  $Q'$ -point. Another way to say that  $p$  is rapid is: For every sequence  $d_0 < d_1 < \dots < d_n < \dots$  there is an  $A \in p$  such that  $d_i < a_i$  for every  $i$ , where  $A = \{a_i : i < \omega\}$  and  $a_i < a_{i+1}$  for all  $i < \omega$ . It is easily seen that every  $Q$ -point is rapid.

The following well known facts will be used very often in the text.

**Lemma 0.3.** *Let  $f \in \omega^\omega$  and  $p \in \omega^*$ . Then*

- (1)  $f^\beta(p) = \{A \subset \omega : f^{-1}(A) \in p\}$ .
- (2)  $f^\beta(p) = p$  if and only if  $\{n \in \omega : f(n) = n\} \in p$ .
- (3)  $f^\beta(p) \approx p$  if and only if there is  $A \in p$  such that  $f \upharpoonright A$  is one-to-one.

**Lemma 0.4.** *Let  $f, g \in \omega^\omega$  and  $p \in \omega^*$ .*

- (1) *If, for an  $A \in p$ ,  $f \upharpoonright A = g \upharpoonright A$ , then  $f^\beta(p) = g^\beta(p)$ .*
- (2) *(Z. Frolík) If  $f$  or  $g$  is one-to-one, then  $f^\beta(p) = g^\beta(p)$  if and only if  $E_{f,g} = \{n \in \omega : f(n) = g(n)\} \in p$ .*

Note that clause (2) of Lemma 0.4 does not necessarily hold for arbitrary  $f$  and  $g$  (see Example 2.3).

A trivial fact we are also going to use frequently is:

**Lemma 0.5.** *Let  $f \in \omega^{\omega^\omega}$ . Then  $f(n+k) \geq f(n) + k$  for every  $n, k \in \omega$ . In particular,  $f(n) \geq n$  for every  $n \in \omega$ .*

Given a space  $X$ , a point  $p \in \omega^*$  and a sequence  $s = (F_n)_{n < \omega}$  of subsets of  $X$ , we say that a point  $x \in X$  is a  $p$ -limit of  $s$  (in symbols,  $x = p\text{-lim}(F_n)$ ) if  $\{n < \omega : F_n \cap V \neq \emptyset\} \in p$  for each neighborhood  $V$  of  $x$  (see [GS]).

Let  $\mathcal{C}$  be a collection of subsets of a space  $X$ , and  $M$  be a subset of  $\omega^*$ . We say that  $X$  is  $M_{\mathcal{C}}$ -compact if for every sequence  $(F_n)_{n < \omega}$  of disjoint elements of  $\mathcal{C}$ , there are  $x \in X$  and  $p \in M$  such that  $x = p\text{-lim}(F_n)$ . If  $\mathcal{C}$  is the collection of singletons of  $X$ , then  $M_{\mathcal{C}}$ -compactness coincides with  $M$ -compactness (see [B]), and when  $\mathcal{C}$  is the collection of open subsets of  $X$ , then  $M_{\mathcal{C}}$ -compactness is called  $M$ -pseudocompactness.

Observe that every compact space  $X$  is  $M_{\mathcal{C}}$ -compact for every collection  $\mathcal{C}$  of subsets of  $X$  and every  $M \subset \omega^*$ . Moreover, if  $M \subset N \subset \omega^*$  and  $\mathcal{D}$  refines  $\mathcal{C}$ , then every  $M_{\mathcal{D}}$ -compact space is  $N_{\mathcal{C}}$ -compact. In particular,  $N$ -compactness implies  $N$ -pseudocompactness. Also, if  $X$  is  $M$ -compact, then  $X$  is countably compact, and if  $X$  is  $M$ -pseudocompact, then  $X$  is pseudocompact.

We will focus our attention on analyzing  $M$ -pseudocompactness, when  $M$  is one of the sets considered in Definition 0.1. Recall the following standard fact.

**Lemma 0.6.** *Let  $r, p \in \omega^*$  and  $f \in \omega^\omega$ . Then  $r = p\text{-lim}(f(n))$  if and only if  $f^\beta(p) = r$ .*

A slight generalization of Lemma 0.6 yields:

**Proposition 0.7.** *Let  $p \in \omega^*$  and  $f : X \rightarrow Y$  be a continuous function. Let  $(F_n)_{n < \omega}$  be a sequence of subsets of  $X$ . If  $x = p\text{-lim}(F_n)$ , then  $f(x) = p\text{-lim}(f(F_n))$ .*

**Corollary 0.8.** *For every  $M \subset \omega^*$ ,  $M$ -compactness and  $M$ -pseudocompactness are preserved by continuous functions.*

Moreover, for every  $M \subset \omega^*$ ,  $M$ -compactness is hereditary with respect to closed subsets, and  $M$ -pseudocompactness is inherited by regular closed subsets.

Using Lemma 0.3, it is easy to prove the following (for a proof see [ST, Lemma 2.1.(1)]).

**Lemma 0.9.** *Let  $p \in \omega^*$ ,  $f : \omega \rightarrow \omega$  and let  $(F_n)_{n < \omega}$  be a sequence of subsets of  $X$ . Then, for an  $x \in X$ ,*

$$x = f^\beta(p)\text{-}\lim(F_n) \Leftrightarrow x = p\text{-}\lim(F_{f(n)}).$$

*Convention 0.10.* Throughout the paper we will use the following convention: If a capital letter, say  $A$ , denotes an infinite subset of  $\omega$ , then the lower case letters  $a_0, a_1, \dots, a_n, \dots$  denote its elements in an increasing way ( $a_i < a_{i+1}$ ). Moreover, the lower case letter  $a$  denotes the strictly increasing function which lists the elements of  $A$ ; that is  $a(n) = a_n$ .

The proofs of the following assertions are standard.

**Lemma 0.11.** *Let  $f \in \omega^\omega$  and  $A \subset \omega$ .*

- (1) *If  $f \upharpoonright A$  is a non-decreasing function, then there exists  $g \in Nd(\omega)$  such that  $g \upharpoonright A = f \upharpoonright A$ .*
- (2) *If  $f \upharpoonright A$  is a finite-to-one function, then there exists  $g \in Fo(\omega)$  such that  $g \upharpoonright A = f \upharpoonright A$ .*
- (3) *There is  $g \in \omega^{\nearrow} \omega$  such that  $g \upharpoonright A = f \upharpoonright A$  if and only if  $f \upharpoonright A$  is strictly increasing,  $a_0 \leq f(a_0)$  and  $a_{n+1} - a_n \leq f(a_{n+1}) - f(a_n)$  for every  $n < \omega$ .*

Lemma 0.4 and Lemma 0.11 produce:

**Corollary 0.12.** *Let  $f \in \omega^\omega$  and  $p \in \omega^*$ .*

- (1) *If  $q = f^\beta(p)$  and  $f \upharpoonright A$  is a non-decreasing function for an  $A \in p$ , then there exists  $g \in Nd(\omega)$  such that  $g^\beta(p) = q$ .*
- (2) *If  $q = f^\beta(p)$  and  $f \upharpoonright A$  is a finite-to-one function for an  $A \in p$ , then there exists  $g \in Fo(\omega)$  such that  $g^\beta(p) = q$ .*
- (3) *There is  $g \in \omega^{\nearrow} \omega$  such that  $f^\beta(p) = g^\beta(p)$  if and only if there is  $A \in p$  such that  $f \upharpoonright A$  is strictly increasing,  $a_0 \leq f(a_0)$  and  $a_{n+1} - a_n \leq f(a_{n+1}) - f(a_n)$  for every  $n < \omega$ .*
- (4) *We can always find  $A \in p$  and a function  $g : \omega \rightarrow \omega$  such that: (a)  $g \upharpoonright A = f \upharpoonright A$ , (b)  $g(\omega \setminus A) \subset \omega \setminus g(A)$ , and (c)  $g^\beta(p) = f^\beta(p)$ .*

### 1. Relationships between the classes $T(p)$ , $S(p)$ , $R(p)$ , $I(p)$ , $P_{RB}(p)$ and $P_{RK}(p)$

As a consequence of Corollary 0.12, we obtain that  $I(p) = \{q \in \omega^* : \exists A \in p \text{ and } f \in \omega^\omega \text{ such that } f \text{ is non-decreasing on } A \text{ and } q = f^\beta(p)\}$  and  $P_{RB}(p) = \{q \in \omega^* : \exists A \in p \text{ and } f \in \omega^\omega \text{ such that } f \text{ is finite-to-one on } A \text{ and } q = f^\beta(p)\}$ .

Of course, if  $f$  and  $g$  are strictly increasing (resp. non-decreasing, finite-to-one) and the range of  $g$  is contained in the domain of  $f$ , then  $f \circ g$  is strictly increasing (resp., non-decreasing, finite-to-one). Moreover, every strictly increasing function is a non-decreasing function, every non-decreasing function is finite-to-one, and if  $f : \omega \rightarrow \omega$  is strictly increasing, then  $f^{-1} : f[\omega] \rightarrow \omega$  is strictly increasing too.

**Proposition 1.1.** *Let  $p \in \omega^*$ . Then:*

- (1)  $p \in S(p) \subset R(p) \subset T(p) \subset P_{RB}(p) \subset P_{RK}(p)$ ,
- (2)  $R(p) \subset I(p) \subset P_{RB}(p)$ ,
- (3)  $R(p) = T(p) \cap I(p)$ .

*Proof.* The only part not entirely trivial in (1) and (2) is  $R(p) \subset T(p)$ . To see this, let  $f \in \omega^\omega$  be strictly increasing on  $A \in p$ . We can assume, without loss of generality, that  $|\omega \setminus A| = \aleph_0$ . Extend  $f \upharpoonright A$  to a permutation  $\sigma$ . Then by Lemma 0.4,  $\sigma^\beta(p) = f^\beta(p)$ .

In order to prove (3), take  $r \in T(p) \cap I(p)$ . There exist  $f \in \text{Sym}(\omega)$  and  $g \in \text{Nd}(\omega)$  such that  $f^\beta(p) = r = g^\beta(p)$ . Let  $A$  be the set  $\{n < \omega : f(n) = g(n)\}$ . Then  $A \in p$  and  $f$  (and  $g$ ) is strictly increasing on  $A$ . Therefore,  $r \in R(p)$ .  $\square$

We can summarize elementary relationships between the classes as follows:

**Theorem 1.2.** *Let  $r, p \in \omega^*$ . Then*

- (1)  $S(p) \neq R(p)$ ; in particular,  $S(p) \neq T(p)$  and  $S(p) \neq I(p)$ ,
- (2)  $r \in S(p)$  if and only if  $S(r) \subset S(p)$ ,
- (3)  $S(r) = S(p)$  if and only if  $r = p$ ,
- (4)  $r \in I(p)$  if and only if  $I(r) \subset I(p)$ ,
- (5)  $r \in R(p)$  if and only if  $R(r) \subset R(p)$ ,
- (6)  $r \in R(p)$  if and only if  $R(r) = R(p)$ ,
- (7)  $R(r) = R(p)$  or  $R(r) \cap R(p) = \emptyset$ ,
- (8)  $S(r) \cap S(p) \neq \emptyset$  if and only if  $R(r) = R(p)$ ,
- (9)  $r \notin I(p)$  if and only if  $R(r) \subset \omega^* \setminus I(p)$ , if and only if  $R(r) \cap (\omega^* \setminus I(p)) \neq \emptyset$ .

*Proof.* (1) Let  $A \in p$  such that  $0 \notin A$  and  $|\omega \setminus A| = \aleph_0$ . Define  $g : \omega \rightarrow \omega$  by  $g(n) = n - 1$  if  $n \in A$ , and  $g(n) = 0$  otherwise. Then,  $r = g^\beta(p) \in R(p)$ . If for some  $f \in \omega^\omega$ ,  $f^\beta(p) = r$ , then  $\{n < \omega : f(n) = g(n)\} \in p$ , hence there is an  $n \in \omega$  for which  $f(n) < n$ , but this is not possible (Lemma 0.5). Therefore,  $r \notin S(p)$ .

(2) and (4) are easy to prove.

(3) The reverse implication is trivial. We prove the direct implication. Assuming  $S(r) = S(p)$ , we have functions  $f, g \in \omega^\omega$  such that  $r = f^\beta(p)$  and  $p = g^\beta(r)$ . Since  $(f \circ g)^\beta(r) = r$ ,  $f \circ g$  is the identity function on a set  $B \in r$ . On  $B$ , both  $f$  and  $g$  must be the identity because of monotonicity. So  $p = g^\beta(r) = r$ .

(5) Since  $r \in R(p)$ , there is a function  $f : \omega \rightarrow \omega$  and there is  $A \in p$  such that  $f \upharpoonright A$  is strictly increasing, and  $f^\beta(p) = r$ . If  $s \in R(r)$ , we can find  $g : \omega \rightarrow \omega$  such that  $g^\beta(r) = s$  and a  $B \in r$  on which  $g$  is strictly increasing. Since  $B \in r$ ,  $f^{-1}(B) \in p$ . Let  $C = A \cap f^{-1}(B)$ . Then  $(g \circ f) \upharpoonright C$  is strictly increasing,  $C \in p$  and  $(g \circ f)^\beta(p) = s$ . So,  $s \in R(p)$ .

(6) Let  $r \in R(p)$ . By (5),  $R(r) \subset R(p)$ . Moreover, there are  $f : \omega \rightarrow \omega$  and  $A \in p$  such that  $f \upharpoonright A$  is strictly increasing and  $f^\beta(p) = r$ . We have then that  $f[A] \in r$  and  $f^{-1} : f[A] \rightarrow \omega$  is strictly increasing. Let  $h : \omega \rightarrow \omega$  be defined by  $h(n) = f^{-1}(n)$  if  $n \in f[A]$ , and  $h(n) = 0$  if  $n \notin f[A]$ . Then  $\{n < \omega : (h \circ f)(n) = n\} \in p$ . So,  $h^\beta(r) = h^\beta(f^\beta(p)) = (h \circ f)^\beta(p) = p$ . But  $h$  is strictly increasing in an element of  $r$ , so  $p \in R(r)$ , and this means that  $R(p) \subset R(r)$ .

(7) This is a consequence of (6).

(8) If  $S(r) \cap S(p) \neq \emptyset$  then  $R(r) \cap R(p) \neq \emptyset$ . Using (6) we get  $R(r) = R(p)$ .

Now, assume that  $R(r) = R(p)$ . Let  $A \in p$  and  $h \in {}^A\mathcal{A}\omega$  be such that  $h^\beta(p) = r$ . By Corollary 0.12.(4) we can assume that  $h(\omega \setminus A) \subset \omega \setminus h(A)$ . Let  $b_n = h(a_n)$  and  $B = \{b_n : n < \omega\}$ . Note that  $b_n < b_m$  if  $n < m$ . Define  $\psi : B \rightarrow \omega$  by  $\psi(b_n) = b_0 + \dots + b_n + a_0 + \dots + a_n$ . By Lemma 0.11.(3) there are two strictly increasing functions  $f, g \in {}^\omega\mathcal{A}\omega$  which extend  $\psi$  and  $h' = \psi \circ h \upharpoonright A$ , respectively.

*Claim.*  $g^\beta(p) = f^\beta(r)$ .

In fact, by definition of  $f$  and  $g$ , and using that the domain of  $\psi$  is  $B = h[A]$ , we have that the composite  $f \circ h$  agrees with  $g$  on the set  $A \in p$ . So,  $f^\beta(r) = f^\beta(h^\beta(p)) = g^\beta(p)$ .

(9) If  $R(r) \subset \omega^* \setminus I(p)$ , then  $r \notin I(p)$  because  $r \in R(r)$ . Now, assume that  $q \in R(r) \cap I(p)$ . Then, there exists  $f \in {}^A\mathcal{A}\omega$  with  $A \in q$  such that  $f^\beta(q) = r$  (because of (6)), and there is  $g \in Nd(\omega)$  such that  $g^\beta(p) = q$ . Then,  $(f \circ g)^\beta(p) = f^\beta(g^\beta(p)) = f^\beta(q) = r$ . Then  $A \in q$ , so  $g^{-1}(A) \in p$  and  $(f \circ g) \upharpoonright g^{-1}(A)$  is non-decreasing. So  $r \in I(p)$ .  $\square$

The well-known minimality of selective ultrafilters (Q-points) in the Rudin-Keisler order (Rudin-Blass order) translates directly into:

**Lemma 1.3.** *Let  $p \in \omega^*$ . Then:*

- (1)  $p$  is a Q-point if and only if  $T(p) = P_{RB}(p)$ ,
- (2)  $p$  is selective if and only if  $T(p) = P_{RK}(p)$ .

**Lemma 1.4.**  $p \in \omega^*$  is a Q'-point if and only if  $I(p) \subseteq T(p)$ .

*Proof.* For the direct implication assume that  $p$  is a Q'-point and let  $q \in I(p)$ . Then there is an  $h \in \omega^\omega$  non-decreasing such that  $q = h^\beta(p)$ . Let  $I_n = h^{-1}(\{n\})$ . The family  $\{I_n : n \in \omega\}$  constitutes a partition of  $\omega$  into intervals, so there is an  $A \in p$  ( $|\omega \setminus A| = \aleph_0$ ) such that  $|A \cap I_n| \leq 1$  for every  $n \in \omega$ . The function  $h \upharpoonright A$  is then strictly increasing. Extend  $h \upharpoonright A$  to a permutation  $\sigma$ . By Lemma 0.4,  $q = h^\beta(p) = \sigma^\beta(p)$ , and hence  $q \in T(p)$ .

For the reverse implication let  $\{I_n : n \in \omega\}$  be an increasing enumeration of a partition of  $\omega$  into intervals and let  $f(m) = n$  if and only if  $m \in I_n$ . As  $I(p) \subseteq T(p)$ , there is a permutation  $\sigma$  such that  $f^\beta(p) = \sigma^\beta(p)$  and by Lemma 0.4,  $E_{f,\sigma} \in p$ . As  $f$  is constant on each  $I_n$ ,  $|E_{f,\sigma} \cap I_n| \leq 1$ . So,  $p$  is a Q'-point.  $\square$

**Lemma 1.5.**  $p \in \omega^*$  is a Q-point  $\Leftrightarrow p$  is a Q'-point  $\Leftrightarrow T(p) \subseteq I(p)$ .

*Proof.* Let  $p$  be a Q'-point,  $\sigma \in Sym(\omega)$  and  $q = \sigma^\beta(p)$ .

*Case 1.* There is a strictly increasing sequence  $\{n_i : i \in \omega\}$  such that  $n_0 = 0$  and  $\sigma[[n_i, n_{i+1}]] = [n_i, n_{i+1}]$  for every  $i \in \omega$ .

Let  $I_i = [n_i, n_{i+1})$ . As  $p$  is a Q'-point there is an  $A \in p$  such that  $|A \cap I_i| \leq 1$  for every  $i \in \omega$ .

Case 2. The set  $\{k \in \omega : \sigma[[0, k]] = [0, k]\}$  is bounded.

Construct two sequences  $\{n_i : i \in \omega\}$  and  $\{m_i : i \in \omega\}$  of integers by putting

- (1)  $n_0 = m_0 = \max\{k \in \omega : \sigma[[0, k]] = [0, k]\}$
- (2)  $m_1 = \sigma(n_0)$ ,
- (3)  $n_{i+1} = \max \sigma^{-1}[[m_i, m_{i+1}]] + 1$  and
- (4)  $m_{i+1} = \max \sigma[[n_i, n_{i+1}]] + 1$ .

Note that  $\sigma[[n_i, n_{i+1}]] \subseteq [m_i, m_{i+2}]$  for every  $i \in \omega$ . Let

$$I = \bigcup_{i \in \omega} ([n_i, n_{i+1}] \cap \sigma^{-1}[[m_{i+1}, m_{i+2}]])$$

and

$$J = \bigcup_{i \in \omega} ([n_i, n_{i+1}] \cap \sigma^{-1}[[m_i, m_{i+1}]]).$$

Then exactly one of  $I$  and  $J$  is in  $p$ , say  $I$  (the case for  $J$  is analogous). Let  $I_i = [n_i, n_{i+1}]$ . As  $p$  is a  $Q'$ -point there is a  $B \in p$  such that  $|B \cap I_i| \leq 1$  for every  $i \in \omega$ . Let  $A = B \cap I$ .

In both cases  $\sigma \upharpoonright A$  is an increasing function. Let  $f \in \omega^\omega$  be a non-decreasing extension of  $\sigma \upharpoonright A$ . Again by Lemma 0.4,  $f^\beta = \sigma^\beta$  hence  $T(p) \subseteq I(p)$ .

Now assume that  $T(p) \subseteq I(p)$  and let  $\{I_n : n \in \omega\}$  be any partition of  $\omega$  into finite sets. Let  $\sigma$  be a permutation of  $\omega$  such that  $\sigma \upharpoonright I_n$  is (strictly) decreasing for every  $n \in \omega$ . As  $T(p) \subseteq I(p)$ , there is a non-decreasing  $f$  such that  $f^\beta = \sigma^\beta$ . By Lemma 0.4,  $E_{f,\sigma} \in p$ . As  $f$  is decreasing on each  $I_n$ ,  $|E_{f,\sigma} \cap I_n| \leq 1$  and so  $p$  is a  $Q$ -point.

To close the circle of implications it is enough to note that every  $Q$ -point is trivially a  $Q'$ -point.  $\square$

Note that an easy modification of the proof yields:

**Lemma 1.6.**  *$p$  is a  $Q$ -point if and only if for every finite-to-one function  $f$  there is an  $A \in p$  such that  $f \upharpoonright A$  is strictly increasing.*

Now we can summarize the results in the following theorem:

**Theorem 1.7.** *Let  $p \in \omega^*$ . Then:*

- (1) *The following are equivalent:*
  - (a)  *$p$  is a  $Q$ -point*
  - (b)  *$p$  is a  $Q'$ -point*
  - (c)  *$I(p) \subseteq T(p)$*
  - (d)  *$T(p) \subseteq I(p)$*
  - (e)  *$I(p) = T(p)$*
  - (f)  *$T(p) = P_{RB}(p)$*
  - (g)  *$I(p) = P_{RB}(p)$ .*
  - (h)  *$R(p) = P_{RB}(p)$ .*

(2) *The following are equivalent:*

- (a)  *$p$  is selective*
- (b)  $T(p) = P_{RK}(p)$
- (c)  $I(p) = P_{RK}(p)$ .
- (d)  $R(p) = P_{RK}(p)$ .

(3) *If  $p$  is a P-point then  $P_{RB}(p) = P_{RK}(p)$ .*

*Proof.* Clause (1) follows easily from Lemma 1.3, Lemma 1.4, Lemma 1.5 and 1.1.(3). Clause (2) follows from Lemma 1.3 and clause (1) using the fact that every selective ultrafilter is a Q-point. So the only thing that requires argumentation is clause (3). Let  $p$  be a P-point and let  $q \in P_{RK}(p)$ . Then there is an  $f \in \omega^\omega$  such that  $q = f^\beta(p)$ . Let  $I_n = f^{-1}(\{n\})$ . Then  $\{I_n : n \in \omega\}$  is a partition of  $\omega$  and each  $I_n \notin p$  (as otherwise  $q \notin \omega^*$ ). As  $p$  is a P-point there is an  $A \in p$  such that  $A \cap I_n$  is finite for every  $n \in \omega$ . Extend  $f \upharpoonright A$  to any finite-to-one function  $g$ . Then  $q = f^\beta(p) = g^\beta(p)$  and hence  $q \in P_{RB}(p)$ .  $\square$

It is convenient to introduce the following definition:

**Definition 1.8.** *Call an ultrafilter  $p \in \omega^*$  a semi-P-point if  $P_{RB}(p) = P_{RK}(p)$ .*

It is known that there are (in ZFC!) points which are not semi-P-points (see [vM] or Section 4). On the other hand, the existence of P-points, Q-points and selective ultrafilters is not provable in ZFC alone (see, for example, [BJ]). Note that if  $p$  is a Q-point which is not selective, then  $P_{RB}(p) \neq P_{RK}(p)$ . For every free ultrafilter  $p$  there are only four possible scenarios:

- (1) All classes mentioned above are distinct.
- (2)  $S(p) \neq R(p) = T(p) = I(p) = P_{RB}(p) \neq P_{RK}(p)$ , which happens exactly when  $p$  is a Q-point and not a (semi-)P-point,
- (3)  $S(p) \neq R(p) = T(p) = I(p) = P_{RB}(p) = P_{RK}(p)$ , which happens exactly when  $p$  is selective,
- (4)  $P_{RB}(p) = P_{RK}(p)$  and the rest of the classes are mutually different, i.e.  $p$  is a semi-P-point and not a Q-point.

An ultrafilter  $p \in \omega^*$  such that (1) occurs for  $p$  exists in ZFC alone, as essentially proved in [vM]. In Section 4 we will show that the existence of a P-point implies the existence of a semi-P-point which is not a Q-point, hence if there is a  $p$  satisfying (3) then there is a  $q$  satisfying (4).

It is consistent (it follows from CH or MA) that all four scenarios actually occur. The combination (1),(2),(4) but not (3) is also consistent; it holds in a model obtained from a model of CH by adding  $\aleph_1$ -many Cohen reals followed by  $\aleph_2$ -many Random reals, as there are both P-points and Q-points there but no selective ultrafilters (see [Ku]). Another consistent configuration is (1), (4), not (2), and not (3). This happens in any model without Q-points and with P-points, say in the Laver model or in any model of the principle of near coherence of filters (NCF) (see, for example, [Mi]).

The question as to which other configurations are consistent boils down to the following problems:

*Question 1.9.* Is it consistent with ZFC that:

- (1) There are no semi-P-points?
- (2) There are Q-points, yet every Q-point is selective?
- (3) There are neither Q-points nor semi-P-points?

Recall that it is a well-known open problem whether it is consistent with ZFC that there are no P-points and no Q-points.

Next we prove that almost every non-empty difference  $M \setminus N$ , where  $M$  and  $N$  are two of the classes  $S(p)$ ,  $R(p)$ ,  $T(p)$ ,  $I(p)$ ,  $P_{RB}(p)$ ,  $P_{RK}(p)$ , is, in fact, a dense subset of  $\omega^*$ , the only exception being  $R(p) \setminus S(p)$  which is dense in  $\omega^*$  if and only if  $p$  is a rapid ultrafilter.

**Theorem 1.10.** (1) For every  $p \in \omega^*$ ,

- (a)  $S(p)$  is dense in  $\omega^*$ .
  - (b)  $I(p) \setminus S(p)$  is dense in  $\omega^*$ .
  - (c)  $T(p) \setminus S(p)$  is dense in  $\omega^*$ .
- (2) For every  $p \in \omega^*$  which is not a Q-point,
- (a)  $I(p) \setminus T(p)$  and  $T(p) \setminus I(p)$  are dense subsets of  $\omega^*$ .
  - (b)  $T(p) \setminus R(p)$  is a dense subset of  $\omega^*$ .
  - (c)  $I(p) \setminus R(p)$  is a dense subset of  $\omega^*$ .
  - (d)  $P_{RB}(p) \setminus R(p)$ ,  $P_{RB}(p) \setminus I(p)$  and  $P_{RB}(p) \setminus T(p)$  are dense in  $\omega^*$ .
- (3) For every  $p \in \omega^*$  which is not selective,  $P_{RK}(p) \setminus R(p)$ ,  $P_{RK}(p) \setminus I(p)$  and  $P_{RK}(p) \setminus T(p)$  are dense subsets of  $\omega^*$ .
- (4) For every  $p \in \omega^*$  which is not a semi-P-point,  $P_{RK}(p) \setminus P_{RB}(p)$  is a dense subset of  $\omega^*$ .

*Proof.* (1.a) Let  $B$  be an infinite subset of  $\omega$ . Then  $b \in {}^{\omega}\omega$  and  $B \in b^\beta(p) \in S(p)$  (recall 0.10).

(1.b) Let  $B$  be an infinite subset of  $\omega$ . Let  $A$  be an element of  $p$  for which  $\omega \setminus A$  is infinite and  $0 \notin A$ . Let  $g : \omega \rightarrow \omega$  defined by  $g(n) = 0$  if either  $n \in A$  and  $\{k \in B : k \leq n\} = \emptyset$  or if  $n \notin A$ , and  $g(n) = b_k$  where  $k$  is the greatest  $l$  such that  $b_l < n$ . The function  $g$  is non-decreasing on  $A \in p$ , so  $q = g^\beta(p) \in I(p)$  (Corollary 0.12). Moreover,  $g(A') \subset B$  where  $A' = \{n \in A : n \geq b_0\} \in p$ , so  $B \in q$ . On the other hand,  $q \notin S(p)$  for if there were  $f \in {}^{\omega}\omega$  such that  $f^\beta(p) = q$ , then  $D = \{n < \omega : f(n) = g(n)\} \in p$  (Lemma 0.4). Thus, if  $m \in D$  then  $f(n) = g(n) < n$ . This, however, cannot happen for a strictly increasing function  $f$ . So,  $q \notin S(p)$ .

(1.c) Let  $B'$  be an infinite subset of  $\omega$ . Let  $B$  be an infinite subset of  $B'$  such that  $\omega \setminus B$  is infinite. Let  $A$  be an element of  $p$ . Consider the function  $h : B \rightarrow A$  defined by  $h(b_0) = \min(A \setminus b_0 + 1)$ , and  $h(b_{n+1}) = \min(A \setminus \max\{h(b_n), b_{n+1}\} + 1)$ . Let  $\sigma$  be a permutation of  $\omega$  extending  $h^{-1}$ . Then,  $\sigma^\beta(p) \in B^* \cap T(p) \setminus S(p)$ .

(2.a) By Theorem 1.7, if  $p$  is not a Q-point, then  $T(p) \setminus I(p) \neq \emptyset \neq I(p) \setminus T(p)$ . By Theorem 1.2, if  $r \in T(p) \setminus I(p)$ , then  $R(r) \subset T(r) \setminus I(p) = T(p) \setminus I(p)$ . Hence,  $T(p) \setminus I(p)$  is dense in  $\omega^*$ . The proof that  $I(p) \setminus T(p)$  is dense in  $\omega^*$  is almost identical.

(2.b), (2.c) and (2.d) are consequences of (2.a).

(3) Given the fact that  $p$  is not  $RK$ -minimal, there is  $q \in \omega^*$  such that  $q <_{RK} p$ . Then  $T(q) \subset P_{RK}(p) \setminus T(p)$ . As  $T(q)$  is dense in  $\omega^*$ , so is  $P_{RK}(p) \setminus T(p)$ .

On the other hand, since  $p$  is not selective, then  $P_{RK}(p) \setminus I(p)$  is non-empty. Take  $q \in P_{RK}(p) \setminus I(p)$ . By Theorem 1.2.(5), Proposition 1.1 and Theorem 1.2.(8),  $R(q) \subset P_{RK}(p) \setminus I(p)$ . As  $R(q)$  is dense in  $\omega^*$  the rest follows easily.

(4) If  $p$  is not a semi- $P$ -point, there is a  $q \in P_{RK}(p) \setminus P_{RB}(p)$ . Then  $T(q) \subset P_{RK}(p) \setminus P_{RB}(p)$ . Thus,  $P_{RK}(p) \setminus P_{RB}(p)$  is dense in  $\omega^*$ .  $\square$

Now we are going to analyze when  $R(p) \setminus S(p)$  is dense in  $\omega^*$ . First, we prove that for every  $p \in \omega^*$ ,  $p \in Cl_{\omega^*}(R(p) \setminus S(p))$ .

**Proposition 1.11.** *If  $A \in p$ , then there is  $q \in (R(p) \cap A^*) \setminus S(p)$ . That is, for every  $p \in \omega^*$ ,  $p \in Cl_{\omega^*}(R(p) \setminus S(p))$ .*

*Proof.* Let  $B \subset A$  such that  $B \in p$ ,  $0 \notin B$  and  $|\omega \setminus B| = \aleph_0$ . Define  $f : \omega \rightarrow \omega$  by letting  $f(n) = 0$  if  $n \notin B$ , and  $f(b_{i+1}) = b_i$  for every  $i \in \omega$ . As  $f$  is strictly increasing on  $B \in p$ ,  $f^\beta(p) = q \in R(p)$ . Moreover,  $f[B] \subset A$ , thus  $q \in A^*$ .

On the other hand, if there is  $g \in \omega \nearrow \omega$  such that  $g^\beta(p) = f^\beta(p)$ , then  $\{n < \omega : g(n) = f(n)\} \in p$ . Thus, for some  $n < \omega$ ,  $g(n) < n$ , which is a contradiction. Hence,  $q \notin S(p)$ .  $\square$

**Lemma 1.12.** *An element  $p \in \omega^*$  is rapid if and only if  $p$  satisfies  $\mathcal{R}$ , where  $\mathcal{R}$  is the assertion: For every sequence  $d_0 < d_1 < \dots < d_n < \dots$  of natural numbers, there is a subsequence  $e_0 < e_1 < \dots < e_n < \dots$  of  $(d_n)_{n < \omega}$ , and  $A \in p$  such that for every  $B \in p$  with  $B \subset A$ , either  $b_0 > e_t$  where  $b_0 = a_t$ , or there is  $n_0 < \omega$  satisfying  $b_{n_0+1} - b_{n_0} > e_t - e_s$  where  $b_{n_0+1} = a_t$  and  $b_{n_0} = a_s$ .*

*Proof.* It is easy to prove that every rapid ultrafilter satisfies the conditions of the theorem. For the converse, assume that an ultrafilter  $p \in \omega^*$  satisfies  $\mathcal{R}$ . We are going to prove that  $p$  is rapid. Assume the contrary and let  $(d'_n)_{n < \omega}$  be a strictly increasing sequence such that, for every  $A \in p$  (see Convention 0.10),  $a \not\leq^* d'$ . Let  $d$  be a function satisfying

$$d_{n+1} - d_n = \sum_{m \leq n+1} d'_m + \sum_{m < n} d_n. \quad \#$$

Let  $(e_n)_{n < \omega}$  be a subsequence of  $(d_n)_{n < \omega}$ . For each  $m < n$ , if  $e_m = d_l$  and  $e_n = d_k$ , then  $l < k$ ,  $l \geq m$ ,  $k \geq n$  and  $n - m \leq k - l$ . So, by (#)

$$e_n - e_m = d_k - d_l \geq d_n \geq d_n - d_m.$$

Let  $A \in p$ .  $A = \{a_n : a_n < d'_n\} \cup \{a_n : a_n \geq d'_n\}$ . Note that  $C = \{a_n : a_n \geq d'_n\} \notin p$ , as for each  $n < \omega$ ,  $c_n = a_m \geq d'_m$  and  $n \leq m$ , so  $c_n \geq d'_n$ ; hence  $C \in p$  contradicts our hypothesis on  $p$ . Thus,  $B = \{a_n : a_n < d'_n\} \in p$  and  $b_0 = a_n < d'_n \leq d_n \leq e_n$ . Moreover, because of definition of  $B$  and (#),

$$b_{n+1} - b_n = a_t - a_s \leq a_t < d'_t \leq d_t - d_{t-1} \leq d_t - d_s \leq e_t - e_s$$

for every  $n < \omega$ . Therefore,  $p$  does not satisfy  $\mathcal{R}$ .  $\square$

**Theorem 1.13.**  $R(p) \setminus S(p)$  is dense in  $\omega^*$  if and only if  $p$  is rapid.

*Proof.* Let  $D^*$  be a standard open subset of  $\omega^*$ . Let  $(e_n)_{n < \omega}$  and  $A \in p$  witness that  $p$  is rapid (see Lemma 1.12). Let  $f : \omega \rightarrow \omega$  be defined by  $f(x) = 0$  if  $x \notin A$  and  $f(a_i) = e_i$ . Hence,  $f \in A \nearrow \omega$  and  $f^\beta(p) \in R(p)$ . We are going to show that  $f^\beta(p) \notin S(p)$ . We will get a contradiction by assuming the contrary: Let  $g \in \omega \nearrow \omega$  be such that  $g^\beta(p) = f^\beta(p)$ . Then there is  $B \in p$  such that  $f \in B \nearrow \omega$ ,  $b_0 \leq f(b_0)$ , and for every  $n$ ,

$$b_{n+1} - b_n \leq f(b_{n+1}) - f(b_n) \quad *$$

(Corollary 0.12). Moreover, by Lemma 0.5,

$$b_n \leq f(b_n) \quad \forall n. \quad **$$

Let  $C = A \cap B$ . Since  $p$  is rapid, either (1)  $c_0 > e_t$  where  $c_0 = a_t$ , or (2) there is  $n_0 < \omega$  such that  $c_{n_0+1} - c_{n_0} > e_t - e_s$  where  $c_{n_0+1} = a_t$ ,  $c_{n_0} = a_s$ . In the first case,  $c_0 = b_l > e_t = f(a_t) = f(b_l)$  for an  $l < \omega$ , contradicting (\*\*). In the second case,  $c_{n_0+1} = b_l$  and  $c_{n_0} = b_m$  for some  $l > m$ . As  $e_t = f(a_t) = f(c_{n_0+1}) = f(b_l)$  and  $e_s = f(a_s) = f(c_{n_0}) = f(b_m)$ ,

$$b_l - b_m > f(b_l) - f(b_m). \quad ***$$

By (\*),  $b_l - b_m = (b_l - b_{l-1}) + \dots + (b_{m+1} - b_m) \leq (f(b_l) - f(b_{l-1})) + \dots + (f(b_{m+1}) - f(b_m)) = f(b_l) - f(b_m)$ , which contradicts (\*\*\*). Therefore,  $f^\beta(p) \notin S(p)$ . Moreover,  $f[A] \subset D$ , so  $f^\beta(p) \in (R(p) \setminus S(p)) \cap D^*$ .

Now, assume that  $R(p) \setminus S(p)$  is dense in  $\omega^*$ , and let  $d_0 < d_1 < \dots < d_n < \dots$  be a sequence of natural numbers. Fix  $q \in (R(p) \setminus S(p)) \cap D^*$ . There exist  $C \in p$  and  $f \in C \nearrow \omega$  such that  $f^\beta(p) = q$ . Since  $q \in D^*$ ,  $D \in q$ . Moreover,  $f[C] \in q$ ; hence,  $D \cap f[C] \in q$ , so  $f^{-1}(D \cap f[C]) \in p$ . Let  $A = f^{-1}(D \cap f[C]) \cap C$ . Of course,  $A \in p$ . Put  $e_i = f(a_i)$ . The sequence  $(e_i)_{i < \omega}$  is a subsequence of  $(d_i)_{i < \omega}$ . Let  $B \subseteq A$  be an element of  $p$  and assume that (i)  $a_l = b_0 \leq e_l$  and for every  $n$ ,

$$b_{n+1} - b_n \leq e_t - e_s, \quad \text{ii}$$

where  $b_{n+1} = a_t$  and  $b_n = a_s$ . Define  $h \in \omega^\omega$  by  $h(k) = 0$  if  $k \notin B$  and  $h(b_n) = e_t$  if  $b_n = a_t$ . As  $h \upharpoonright B$  coincides with  $f \upharpoonright B$  and  $f \in A \nearrow \omega$ , the function  $h$  is strictly increasing on  $B$ , and  $h^\beta(p) = f^\beta(p) = q$ . By (i) and (ii),  $b_0 \leq h(b_0)$  and  $b_{n+1} - b_n \leq h(b_{n+1}) - h(b_n)$  for every  $n$ . This means that there is  $g \in \omega \nearrow \omega$  such that  $g^\beta(p) = h^\beta(p) = q$  (Corollary 0.12). This in turn implies that  $q \in S(p)$ , which is not possible. So, either  $a_l = b_0 > e_l$  or there is an  $n_0 \in \omega$  such that  $|b_{n_0+1} - b_{n_0}| > |e_t - e_s|$  where  $b_{n_0+1} = a_t$  and  $b_{n_0} = a_s$ . Using Lemma 1.12 we conclude that  $p$  is rapid.  $\square$

## 2. Semi-P-points and products of ultrafilters

Theorem 1.7 leaves an open question: *Is every semi-P-point a P-point?* We will answer the question in the negative and study the notion of a semi-P-point using products of ultrafilters as introduced by Frolík ([F1]) and Katětov ([Ka]).

**Definition 2.1.** For  $p, p_n \in \omega^*$  ( $n < \omega$ ) let

$$\Sigma_p p_n = \{A \subseteq \omega \times \omega : \{n \in \omega : \{m \in \omega : (n, m) \in A\} \in p_n\} \in p\}.$$

When  $p_n = q$  for every  $n < \omega$ , we write  $p \otimes q$  instead of  $\Sigma_p p_n$ . That is,

$$p \otimes q = \{A \subseteq \omega \times \omega : \{n \in \omega : \{m \in \omega : (n, m) \in A\} \in q\} \in p\}.$$

*Remark 2.2.* It is easy to see that  $\Sigma_p p_n$  is a free ultrafilter on  $\omega \times \omega$ , hence it can be treated as an ultrafilter in  $\omega^*$  (via some fixed enumeration of  $\omega \times \omega$ ). What is also immediate is that  $\Sigma_p p_n$  is never a P-point, and  $p \otimes q$  is never a Q-point. Moreover, if  $q_n \in T(p_n)$  (resp.,  $q_n \leq_{RK} p_n$ ) for each  $n < \omega$ , then  $\Sigma_p q_n \in T(\Sigma_p p_n)$  (resp.,  $\Sigma_p q_n \leq_{RK} \Sigma_p p_n$ ), and  $p <_{RK} \Sigma_p p_n$  always holds (see [Bo], [B1], [vM] and [GFT]). The operation  $\otimes$  is associative, non-commutative and without idempotents.

Let us first return to the statement of Lemma 0.4. It required one of the two functions involved to be one-to-one. This is necessary as exhibited by the following example.

*Example 2.3.* Let  $p \in \omega^*$  and let  $\pi : \omega \times \omega \rightarrow \omega$  be the projection on the first coordinate and let  $\pi_2$  be the projection on the second coordinate. Let  $\nabla = \{(n, m) \in \omega \times \omega : m > n\}$ . Then (regardless of the choice of  $p$ )  $\nabla \in p \otimes p$  and  $\pi_2 \upharpoonright \nabla$  is a finite-to-one function. Let  $\sigma$  be any finite-to-one extension of  $\pi_2 \upharpoonright \nabla$ . Trivially,  $\pi^\beta(p \otimes p) = \sigma^\beta(p \otimes p) = p$ , yet  $E_{\pi, \sigma} \notin p \otimes p$ . So Lemma 0.4.(2) can fail even if one of the functions is finite-to-one.

Throughout this section  $\pi$  will always denote the projection on the first coordinate and  $\sigma$  a finite-to-one extension of  $\pi_2 \upharpoonright \nabla$ . For  $A \subseteq \omega \times \omega$  let  $A_{(n)} = \{m \in \omega : (n, m) \in A\}$  and for  $f : \omega \times \omega \rightarrow \omega$  and  $n \in \omega$  let  $f_{(n)} : \omega \rightarrow \omega$  be defined by  $f_{(n)}(m) = f((n, m))$ . We will also implicitly assume that, unless explicitly stated otherwise, given  $p \in \omega^*$  and  $f \in \omega^\omega$ ,  $f^\beta(p) \in \omega^*$ , in other words,  $f$  is not constant on any set in  $p$ .

Observe that for  $q, p, p_n \in \omega^*$  ( $n < \omega$ ),  $\pi^\beta(\Sigma_p p_n) = p$  and  $\sigma^\beta(p \otimes q) = q$ .

**Proposition 2.4.** Let  $p, p_n, q_n \in \omega^*$  ( $n < \omega$ ).

- (1) If  $\{n < \omega : q_n \leq_{RB} p_n\} \in p$ , then  $\Sigma_p q_n \leq_{RB} \Sigma_p p_n$ .
- (2) If  $\{n < \omega : q_n <_{RB} p_n\} \in p$ , then  $\Sigma_p q_n <_{RB} \Sigma_p p_n$ .
- (3) If  $p \leq_{RB} p_n$  for all  $n$ , then  $p <_{RB} \Sigma_p p_n$ .

*Proof.* (1) Let  $B = \{n < \omega : q_n \leq_{RB} p_n\}$ . For each  $n \in B$ , there is a finite-to-one function  $\sigma_n : \omega \rightarrow \omega$  satisfying  $\sigma_n^\beta(p_n) = q_n$ . Let  $\psi : \omega \times \omega \rightarrow \omega \times \omega$  be defined by  $\psi(n, m) = (n, \sigma_n(m))$  if  $n \in B$ , and  $\psi(n, m) = (n, m)$  if  $n \notin B$ . Note that the function  $\psi$  is finite-to-one.

*Claim.*  $\psi^\beta(\Sigma_p p_n) = \Sigma_p q_n$ .

In fact,  $A \in \psi^\beta(\Sigma_p p_n)$  if and only if  $\{n < \omega : \{m < \omega : (n, m) \in \psi^{-1}(A)\} \in p_n\} \in p$ , if and only if  $\{n \in B : A_{(n)} \in q_n\} \in p$ , if and only if  $\{n < \omega : \{m < \omega : (n, m) \in A\} \in q_n\} \in p$ , if and only if  $A \in \Sigma_p q_n$ .

(2) Because of Clause (1),  $\Sigma_p q_n \leq_{RB} \Sigma_p p_n$  holds. By hypothesis,  $\{n < \omega : q_n <_{RK} p_n\} \supset \{n < \omega : q_n <_{RB} p_n\} \in p$ . This, however, implies that  $\Sigma_p q_n$  is not equivalent to  $\Sigma_p p_n$  (see [Bl]), so  $\Sigma_p q_n <_{RB} \Sigma_p p_n$ .

(3) By Clause (1),  $p \otimes p \leq_{RB} \Sigma_p p_n$ . Moreover,  $p <_{RB} p \otimes p$  because  $\sigma$  is finite-to-one,  $\sigma^\beta(p \otimes p) = p$  and  $p <_{RK} p \otimes p$  (Remark 2.2).  $\square$

We recall now the definitions of the right and left power of an ultrafilter  $p$ , given in [Bo] and [GFT], respectively. For each  $1 < \nu < \omega_1$ , fix a strictly increasing sequence  $(\nu(n))_{n < \omega}$  of ordinals in  $\omega_1$  such that

- (1) if  $1 < \nu < \omega$ ,  $\nu(n) = \nu - 1$ ;
- (2)  $\omega(n) = n$  for  $n < \omega$ ;
- (3) if  $\nu$  is a limit ordinal, then  $\nu(n) \nearrow \nu$ ;
- (4) if  $\nu = \mu + m$  where  $\mu$  is a limit ordinal and  $m < \omega$ , then  $\nu(n) = \mu(n) + m$  for each  $n < \omega$ .
- (5) if  $\nu < \mu$ , then  $\nu(n) < \mu(n)$  for each  $n < \omega$ .

Let  $p \in \omega^*$ . Define the ultrafilters  $p^\alpha$  and  ${}^\alpha p$  by induction on  $\alpha < \omega_1$ , as follows: Assume that  $p^\alpha$  and  ${}^\alpha p$ ,  $\alpha < \nu$  have already been defined. If  $\nu$  is a limit ordinal, let  $p^\nu = \Sigma_p p^{\nu(n)}$  and  ${}^\nu p = \Sigma_p ({}^{\nu(n)} p)$ ; if  $\nu = \gamma + 1$ , set  $p^\nu = p^\gamma \otimes p$  and  ${}^\nu p = p \otimes {}^\gamma p$ .

It is well known that (1) for every  $\gamma < \alpha < \omega_1$ ,  $p^\gamma <_{RK} p^\alpha$  and  ${}^\gamma p <_{RK} {}^\alpha p$ , (2)  $p^n = {}^n p$  for every  $n \leq \omega$ , and (3)  $p^{\omega+1} <_{RK} {}^{\omega+1} p$ , and also:

**Lemma 2.5** ([Bo]). *If  $1 < \nu < \omega_1$ , then  $p^\nu \simeq \Sigma_p p^{\nu(n)}$ .*

**Proposition 2.6.**  $p^\gamma <_{RB} p^\alpha$  and  ${}^\gamma p <_{RB} {}^\alpha p$  for all  $p \in \omega^*$  and  $1 \leq \gamma < \alpha < \omega_1$ .

*Proof.* Take  $1 \leq m < n < \omega$ . Let  $s = n - m$ . In this case (by associativity of  $\otimes$ )  $p^n = p^{s+m} = p^s \otimes p^m$ , and  $\sigma^\beta(p^s \otimes p^m) = p^m$ . As  $\sigma$  is finite-to-one we conclude that  $p^m \leq_{RB} p^n$ . The strict *RB*-inequality between  $p^m$  and  $p^n$  follows from Remark 2.2. Similarly,  ${}^m p <_{RB} {}^n p$ .

Assume that  $p^\lambda <_{RB} p^\delta$  for every  $\lambda < \gamma < \omega_1$  and every  $\delta < \alpha < \omega_1$  with  $0 < \lambda < \delta$ , where  $\gamma < \alpha$ . Because of  $\gamma(n) < \alpha(n)$  for every  $n < \omega$ , and by Proposition 2.4 and Lemma 2.5 we obtain  $p^\gamma <_{RB} p^\alpha$ .

Now, assume that  ${}^\lambda p <_{RB} {}^\delta p$  for every  $\lambda < \gamma < \omega_1$  and every  $\delta < \alpha < \omega_1$  with  $0 < \lambda < \delta$ , and  $\gamma < \alpha$ . We want to demonstrate that  ${}^\gamma p <_{RB} {}^\alpha p$ . If  $\gamma$  and  $\alpha$  are limit ordinals, then the inequality  ${}^\gamma p <_{RB} {}^\alpha p$  follows easily from the definition of  ${}^\gamma p$ ,  ${}^\alpha p$  and from Proposition 2.4. If  $\gamma = \lambda_0 + 1$  and  $\alpha = \delta_0 + 1$ , then  ${}^\gamma p = p \otimes {}^{\lambda_0} p = \Sigma_p ({}^{\lambda_0} p) <_{RB} \Sigma_p ({}^{\delta_0} p) = p \otimes {}^{\delta_0} p = {}^\alpha p$ . If  $\gamma = \lambda_0 + 1$  and  $\alpha$  is a limit ordinal, then, for some  $n_0 < \omega$ ,  $\lambda_0 < \alpha(n)$  for all  $n \geq n_0$ . Hence,  ${}^{\lambda_0+1} p = \Sigma_p ({}^{\lambda_0} p) <_{RB} \Sigma_p ({}^{\alpha(n)} p) = {}^\alpha p$  (Proposition 2.4). Finally, if  $\gamma$  is limit and  $\alpha = \delta_0 + 1$ , then  $\gamma(n) < \delta_0$ . Thus, again by Proposition 2.4,  ${}^\gamma p = \Sigma_p ({}^{\gamma(n)} p) <_{RB} \Sigma_p ({}^{\delta_0} p) = {}^\alpha p$ .  $\square$

The last result and Lemma 1.7.(7) in [GFT] produce:

**Corollary 2.7.** *Let  $p \in \omega^*$ . For each  $0 < \mu < \omega_1$  there are  $\gamma, \alpha < \omega_1$  such that  $p^\mu \leq_{RB} \alpha p$  and  ${}^\mu p \leq_{RB} p^\gamma$ .*

**Lemma 2.8.** *Let  $p_n \in \omega^*$  be a semi- $P$ -point for each  $n < \omega$ , and let  $p \in \omega^*$ . Let  $f : \omega \times \omega \rightarrow \omega$  be a function. If there exists  $A \in \Sigma_p p_n$  such that for all  $n \in \pi[A]$   $f_{(n)}^\beta(p_n) \in \omega^*$ , then there is a finite-to-one function  $g : \omega \times \omega \rightarrow \omega$  such that*

$$g^\beta(\Sigma_p p_n) = f^\beta(\Sigma_p p_n).$$

*Proof.* Without loss of generality we can assume  $A = \omega \times \omega$ . As  $p_n$  is a semi- $P$ -point for every  $n \in \omega$ , there is a finite-to-one  $g_n$  such that  $f_{(n)}^\beta(p_n) = g_n^\beta(p_n)$ . Let

$$g((n, m)) = g_n(m).$$

It is easy to see that  $g^\beta(\Sigma_p p_n) = f^\beta(\Sigma_p p_n)$ , however,  $g$  is in general not finite-to-one. We will show, that there is a set  $B \in \Sigma_p p_n$  such that  $g \upharpoonright B$  is finite-to-one. This obviously suffices.

To that end let for every  $n, m \in \omega$ ,  $h_n(m) = \min\{k \in \omega : g_n^{-1}(m) \subseteq k\}$  and let  $h : \omega \rightarrow \omega$  be a function which eventually dominates all  $h_n$ , i.e.  $\forall n \in \omega$   $|\{m \in \omega : h_n(m) \geq h(m)\}| < \aleph_0$ . Let

$$B = \{(n, m) \in \omega \times \omega : m \geq h(n)\}.$$

It is obvious that  $B \in \Sigma_p p_n$  and also that  $g \upharpoonright B$  is finite to one. □

**Theorem 2.9.** *Let  $p \in \omega^*$  be a semi- $P$ -point. Then, for every  $0 < \alpha < \omega_1$ ,  ${}^\alpha p$  and  $p^\alpha$  are semi- $P$ -points.*

*Proof.* Take  $\alpha > 1$ . Let  $f : \omega \times \omega \rightarrow \omega$  be given. Assuming that  ${}^\gamma p$  (resp.,  $p^\gamma$ ) is a semi- $P$ -point for every  $\gamma < \alpha$ , we will construct a finite-to-one  $g$  so that  $f_{(n)}^\beta({}^\alpha p) = g_{(n)}^\beta({}^\alpha p)$  (resp.,  $f_{(n)}^\beta(p^\alpha) = g_{(n)}^\beta(p^\alpha)$ ).

There are three possibilities (resp., two possibilities):

*Case 1.*  $\exists A \in {}^\alpha p \forall n \in \pi[A] f_{(n)} \upharpoonright A_{(n)}$  is constant.

(resp.,

*Case 1'.*  $\exists A \in p^\alpha \forall n \in \pi[A] f_{(n)} \upharpoonright A_{(n)}$  is constant.)

*Case 2.*  $\alpha = \gamma_0 + 1$  and  $\exists A \in {}^\alpha p \forall n \in \pi[A] f_{(n)}^\beta({}^{\gamma_0} p) \in \omega^*$  (resp.,  $f_{(n)}^\beta(p^{\gamma_0}) \in \omega^*$ ).

*Case 3.*  $\alpha$  is a limit ordinal and  $\exists A \in {}^\alpha p \forall n \in \pi[A] f_{(n)}^\beta({}^{\alpha(n)} p) \in \omega^*$ .

(resp.,

*Case 2'.*  $\exists A \in {}^\alpha p \forall n \in \pi[A] f_{(n)}^\beta(p^{\alpha(n)}) \in \omega^*$ .)

In Case 1 (resp., Case 1') define  $h : \omega \rightarrow \omega$  by:  $h(n) = m$  if  $n \in \pi[A]$  and some (any)  $k \in A_{(n)}$   $f((n, k)) = m$ , and  $h(n) = 0$  otherwise. Then  $f \upharpoonright A = h \circ \pi \upharpoonright A$ . As  $p$  is a semi-P-point, there is a finite-to-one  $i : \omega \rightarrow \omega$  such that  $h^\beta(p) = i^\beta(p)$ . Let  $s_\alpha \in Fo(\omega)$  be such that  $s_\alpha^\beta(\alpha p) = p$  (resp.,  $s_\alpha^\beta(\Sigma_p p^{\alpha(n)}) = p$ ). Then let  $g = i \circ s_\alpha$ . As both  $i$  and  $s_\alpha$  are finite to one so is  $g$ . Also,  $\pi(\alpha p) = p$  (resp.,  $\pi(\Sigma_p p^{\alpha(n)}) = p$ ). Hence,  $f^\beta(\alpha p) = h^\beta(\pi^\beta(\alpha p)) = i^\beta(s_\alpha^\beta(\alpha p)) = g^\beta(\alpha p)$  (resp.,  $f^\beta(\Sigma_p p^{\alpha(n)}) = h^\beta(\pi^\beta(\Sigma_p p^{\alpha(n)})) = i^\beta(s_\alpha^\beta(\Sigma_p p^{\alpha(n)})) = g^\beta(\Sigma_p p^{\alpha(n)})$ . Therefore,  $\Sigma_p p^{\alpha(n)}$  is a semi-P-point. Since  $\Sigma_p p^{\alpha(n)} \simeq p^\alpha$ , we conclude that  $p^\alpha$  is a semi-P-point.)

If Case 2 or Case 3 holds (resp., Case 2'), the existence of the finite-to-one function  $g$  for which  $f^\beta(\alpha p) = g^\beta(\alpha p)$  is guaranteed by the inductive hypothesis and by Lemma 2.8, because, in both cases (resp., in this case),  $\alpha p$  (resp.,  $p^\alpha$ ) is of the form (resp., is equivalent to an ultrafilter of the form)  $\Sigma_p p_n$  where each  $p_n$  is a semi-P-point.  $\square$

Recall the following standard weakening of the notion of a P-point. Call a free ultrafilter  $p \in \omega^*$  a *weak P-point* if it is not an accumulation point of any countable subset of  $\omega^*$  or, equivalently, for every  $X \in [\omega^* \setminus \{p\}]^\omega$  there is an  $A \in p \setminus \bigcup X$ . It was proved by Kunen [Ku] that weak P-points do exist in ZFC alone. It should be obvious that every P-point is a weak P-point.

Note that for every  $p \in \omega^*$ , and every  $1 < \alpha < \omega_1$ ,  $p^\alpha$  and  $\alpha p$  are not weak P-points. To see this consider two cases (the proof for  $p^\alpha$  is similar): (1) if  $\alpha = \gamma_0 + 1$  let  $p_n$  be the ultrafilter (on  $\omega \times \omega$ ) generated by  $\{\{n\} \times A : A \in {}^{\gamma_0} p\}$ ; (2) if  $\alpha$  is a limit ordinal, let  $p_n$  be the ultrafilter generated by  $\{\{n\} \times A : A \in {}^{\alpha(n)} p\}$ . In both cases, it is immediate from the definition that  $p \in \text{Cl}_{\omega^*} \{p_n : n \in \omega\}$ .

**Corollary 2.10.** *If  $p$  is a P-point and  $1 < \alpha < \omega_1$ , then  $\alpha p$  and  $p^\alpha$  are semi-P-points which are not weak P-points.*

*Proof.*  $\alpha p$  and  $p^\alpha$  are semi-P-points by Theorem 1.7 and Theorem 2.9. That  $\alpha p$  and  $p^\alpha$  are not weak P-points has been justified before this Corollary.  $\square$

Next we will show that non-semi-P-points exist in ZFC.

**Lemma 2.11.** *Let  $p$  be a weak P-point and let  $q \not\leq_{RK} p$ . Then  $p \otimes q$  is not a semi-P-point.*

*Proof.* We will show that  $g^\beta(p \otimes q) \neq p = \pi^\beta(p \otimes q)$  for every finite-to-one  $g$ . To that end let  $g : \omega \times \omega \rightarrow \omega$  be finite to one. Let  $g_n(m) = g(n, m)$  and let  $q_n = g_n^\beta(q)$ . As  $q \not\leq_{RK} p$ ,  $p \neq q_n$  for every  $n \in \omega$ , and as  $p$  is a weak P-point, there is an  $A \in p$  such that  $A \not\subseteq q_n$  for every  $n \in \omega$ . Let  $B = g^{-1}[A]$ . Then

$$B = \bigcup_{n \in \omega} \{n\} \times (g_n^{-1}[A])$$

so  $B \notin p \otimes q$ . Hence,  $g^\beta(p \otimes q) \neq p$ .  $\square$

An entirely different proof of the fact that there are non-semi-P-points in ZFC can be found in [vM].

As the set of all P-points is downwards closed in the Rudin-Keisler (Rudin-Blass) ordering, it is natural to ask whether the same is true of the class of semi-P-points. As it turns out it is (at least consistently) not true.

**Corollary 2.12.** *It is consistent that the class of semi-P-points is not downwards closed in the Rudin-Keisler order.*

*Proof.* Let  $p <_{RK} q$  be P-points. By Theorem 2.9,  $q \otimes q$  is a semi-P-point but (by Lemma 2.11)  $q \otimes p$  is not a semi-P-point. It is easy to see that  $q \otimes p \leq_{RK} q \otimes q$ .  $\square$

Note the curious nature of Lemma 2.11. In order to show that  $q \otimes p$  is NOT a semi-P-point we needed  $p$  to be a weak P-point. In fact some requirement of this kind is necessary as, for instance,  $(p \otimes p) \otimes p$  is a semi-P-point provided that  $p$  is a semi-P-point, yet  $p \otimes p \not\leq_{RK} p$ .

### 3. Distinguishing indistinguishable ultrafilters

By Theorem 1.2.(1), the set  $S(p)$  is always a proper subset of the type  $T(p)$ . This fact suggests the following natural definition:

**Definition 3.1.** *For  $p, q \in \omega^*$  let  $p \trianglelefteq q$  if  $\exists f \in \omega^{\nearrow\omega} p = f^\beta(q)$ .*

It is easy to see that  $\trianglelefteq$  is an ordering (not a pre-ordering) on  $T(p)$ . Reflexivity was pointed out in Proposition 1.1.(1) and follows from the fact that  $id$  is a strictly increasing function; transitivity also holds since a composition of strictly increasing functions is strictly increasing (this was mentioned in Theorem 1.2.(2)) and for antisymmetry it is enough to note that if  $f \in \omega^{\nearrow\omega}$  and  $f^{-1}$  extends to a strictly increasing function, then we must have  $f = id$  (see Theorem 1.2.(3)).

Note that in this new notation  $S(p) = \{q \in T(p) : q \trianglelefteq p\}$ . As  $|S(p)| = \mathfrak{c}$  for every  $p$  it follows that there are not  $\trianglelefteq$ -minimal ultrafilters. The proof that there are no  $\trianglelefteq$ -maximal ultrafilters is an easy exercise. As in the previous section, Q-points and selective ultrafilters play a prominent role in our investigations.

For  $f \in \omega^{\nearrow\omega}$  let  $f'$  denote the derivative of  $f$  defined by  $f'(n) = f(n + 1) - f(n)$ . For  $f, g \in \omega^\omega$  let  $\Delta_{f,g}(n) = |f(n) - g(n)|$ . Now, for  $f, g \in \omega^{\nearrow\omega}$  let

$$f \preceq g \quad \text{if} \quad \exists h \in \omega^{\nearrow\omega} \quad g = h \circ f.$$

It is easy to verify that  $\preceq$  is a partial order on  $\omega^{\nearrow\omega}$  with the least element  $id$ . Let  $\leq$  denote the standard (pointwise) ordering on  $\omega^\omega$ .

**Lemma 3.2.** *Let  $f, g \in \omega^{\nearrow\omega}$ . Then the following are equivalent:*

- (1)  $f \preceq g$ ,
- (2)  $f \leq g$  and  $f' \leq g'$ ,
- (3)  $f \leq g$  and  $\Delta_{f,g}$  is non-decreasing.

*Proof.* (1) $\Rightarrow$ (2):  $f \leq g$  as  $g(n) = h(f(n)) \geq f(n)$  for some  $h \in \omega^{\nearrow\omega}$  and every  $n \in \omega$ . Now (for the same  $h$ ),  $g'(n) = h(f(n+1)) - h(f(n)) = h(f(n) + (f(n+1) - f(n))) - h(f(n)) \geq h(f(n)) + f(n+1) - f(n) - h(f(n)) = f'(n)$ .

(2) $\Rightarrow$ (3): This is completely trivial.

(3) $\Rightarrow$ (1): Assuming (3) let

$$h(i) = \begin{cases} i & \text{if } i < f(0) \\ g(k) + i - f(k) & \text{if } i \in [f(k), f(k+1)) \end{cases}$$

Then  $h \in \omega^{\nearrow\omega}$  and  $g(k) = h(f(k))$  for every  $k \in \omega$  as required.  $\square$

**Definition 3.3.** For  $p \in \omega^*$  and  $f, g \in \omega^{\nearrow\omega}$  define:

- (1)  $f \preceq_p g$  if  $\exists h \in \omega^{\nearrow\omega} \{n \in \omega : g(n) = h(f(n))\} \in p$ ,  
 (2)  $f \approx_p g$  if  $\{n \in \omega : g(n) = f(n)\} \in p$ .

The reason for introducing the pre-ordering  $\preceq_p$  (the routine verification that it is indeed a pre-ordering is omitted) is the following:

**Proposition 3.4.** Let  $p \in \omega^*$ . Then  $(S(p), \trianglelefteq)$  is anti-isomorphic to the (quotient) order  $(\omega^{\nearrow\omega}, \preceq_p)$ .

*Proof.* Define  $\Phi : \omega^{\nearrow\omega} \rightarrow S(p)$  by  $\Phi(f) = f^\beta(p)$ . Then:

- (1)  $\Phi(f) = \Phi(g)$  if and only if  $f \approx_p g$ ,  
 (2)  $S(p) = \text{rng}(\Phi)$ ,  
 (3)  $\Phi(f) \trianglelefteq \Phi(g)$  if and only if  $g \preceq_p f$ .

We will only check (3) as the rest is even easier.  $\Phi(f) \trianglelefteq \Phi(g)$  if and only if  $\exists h \in \omega^{\nearrow\omega} h^\beta(\Phi(g)) = \Phi(f)$  if and only if  $h^\beta(g^\beta(p)) = f^\beta(p)$  if and only if  $\{n \in \omega : f(n) = h(g(n))\} \in p$  if and only if  $g \preceq_p f$ .  $\square$

So studying the order  $\trianglelefteq$  is (at least locally) equivalent to studying  $\preceq_p$  for the appropriate  $p \in \omega^*$ . Note the subtle difference between the ordering  $\preceq_p$  and the standard  $\leq_p$ . While  $\leq_p$  is a linear order for every  $p \in \omega^*$  it is not necessarily true for  $\preceq_p$ . However, the following is true for  $\preceq_p$ :

**Proposition 3.5.**  $(\omega^{\nearrow\omega}, \preceq_p)$  is upwards directed for every  $p \in \omega^*$ .

*Proof.* Note that to prove this it is enough to show that  $\preceq$  is upwards directed. Given  $f, g \in \omega^{\nearrow\omega}$  find an  $h \in \omega^{\nearrow\omega}$  such that  $f \leq h$  and  $f' \leq h'$ ,  $g \leq h$  and  $g' \leq h'$  (let for instance  $h = f + g$ ). Then by Lemma 3.2,  $f \preceq h$  and  $g \preceq h$ , hence  $f \preceq_p h$  and  $g \preceq_p h$  for every  $p \in \omega^*$   $\square$

**Corollary 3.6.**  $(S(p), \trianglelefteq)$  is downwards directed for every  $p \in \omega^*$ .

*Proof.* This statement follows directly from the previous two propositions.  $\square$

Next we want to show that in some cases  $\preceq_p$  is a linear order. To that end we need an analog of Lemma 3.2 for  $\preceq_p$ .

**Lemma 3.7.** *Let  $p \in \omega^*$  and  $f, g \in \omega^{\nearrow\omega}$ . Then  $f \preceq_p g$  if and only if  $\exists A \in p$   $f \upharpoonright A \leq g \upharpoonright A$  and  $\Delta_{f,g} \upharpoonright A$  is non-decreasing.*

*Proof.* For the direct implication assume that  $f \preceq_p g$  and let  $h \in \omega^{\nearrow\omega}$  be such that  $A = \{n \in \omega : g(n) = h(f(n))\} \in p$ . Then:

$$g(n) = h(f(n)) \geq f(n) \text{ for every } n \in A, \text{ hence } f \upharpoonright A \leq g \upharpoonright A.$$

Now, for  $m < n \in A$ ,  $\Delta_{f,g}(n) = g(n) - f(n) = h(f(n)) - f(n) = h(f(m) + (f(n) - f(m))) - f(n) \geq h(f(m)) + (f(n) - f(m)) - f(n) = h(f(m)) - f(m) = \Delta_{f,g}(m)$ .

For the reverse implication let  $f, g, A$  be given. Enumerate  $A = \{a_i : i \in \omega\}$  in an increasing manner and let:

$$h(i) = \begin{cases} i & \text{if } i < f(a_0) \\ g(a_k) + i - f(a_k) & \text{if } i \in [f(a_k), f(a_{k+1})) \end{cases}$$

Obviously,  $g(a_k) = h(f(a_k))$  and  $h \in \omega^{\nearrow\omega}$  follows easily as  $g(a_{k+1}) \geq g(a_k) + f(a_{k+1}) - f(a_k)$ .  $\square$

**Proposition 3.8.**  *$p \in \omega^*$  is selective if and only if  $\preceq_p$  is linear.*

*Proof.* For the direct implication assume that  $p$  is selective. By Lemma 3.7, it is enough to show that  $\forall f, g \in \omega^{\nearrow\omega} \exists A \in p$  such that  $f \upharpoonright A \leq g \upharpoonright A$  and  $\Delta_{f,g} \upharpoonright A$  is non-decreasing, or vice versa.

As  $\omega = \{n \in \omega : f(n) \leq g(n)\} \cup \{n \in \omega : g(n) \leq f(n)\}$  we can assume that  $A_0 = \{n \in \omega : f(n) \leq g(n)\} \in p$  (the other case is completely analogous). Now, consider  $\Delta_{f,g}$  and let for  $m < n \in \omega$

$$\phi(\{m, n\}) = \begin{cases} 0 & \text{if } \Delta_{f,g}(m) \leq \Delta_{f,g}(n) \\ 1 & \text{if } \Delta_{f,g}(m) > \Delta_{f,g}(n) \end{cases}$$

As every selective ultrafilter is Ramsey, there is an  $A_1 \in p$  such that  $|\phi''([A_1]^2)| = 1$ , i.e.  $A_1$  is homogeneous. As homogeneity in color 1 would produce a strictly decreasing sequence of non-negative integers (which is absurd),  $A_1$  is homogeneous in color 0, hence  $\Delta_{f,g} \upharpoonright A_1$  is non-decreasing. Then  $A = A_0 \cap A_1$  is as required.

We will prove the reverse implication in two steps. First we will show that if  $\preceq_p$  is linear then  $p$  is a Q-point. To that end let  $\{I_n : n \in \omega\}$  be a partition of  $\omega$  into finite sets. Let  $f, g \in \omega^{\nearrow\omega}$  be such that:  $f \leq g$  and  $\Delta_{f,g}$  is strictly decreasing on  $I_n$  for every  $n \in \omega$ . To construct such  $f$  and  $g$  is easy. Now, as  $\preceq_p$  is linear  $f \preceq_p g$ , and by the previous lemma,  $\Delta_{f,g}$  is non-decreasing on a set  $A \in p$ . Then, of course,  $|A \cap I_n| \leq 1$  for every  $n \in \omega$ , hence  $p$  is a Q-point.

To show that  $p$  is in fact selective it is sufficient to show that  $p$  is  $\leq_{RK}$ -minimal; in other words, for every  $f \in \omega^\omega$  there is an  $A \in p$  such that  $f \upharpoonright A$  is constant or one-to-one. Let an  $f \in \omega^\omega$  be given. Construct  $g, h \in \omega^{\nearrow\omega}$  such that  $g \leq h$  and  $f = \Delta_{g,h}$ . Again this task is easy to fulfill. By linearity of  $\preceq_p$  there is a set  $B \in p$  such that  $f \upharpoonright B = \Delta_{g,h} \upharpoonright B$  is non-decreasing. Then, either  $f \upharpoonright B$  is eventually constant in which case let  $A = B \setminus n$ , where  $\forall i, j \geq n$   $f(i) = f(j)$ , or  $f \upharpoonright B$  is

finite-to-one in which case an application of the fact that  $p$  is a Q-point produces  $A \in p$  such that  $f \upharpoonright A$  is strictly increasing, hence one-to-one.  $\square$

Now we are ready to return to the study of  $\trianglelefteq$ .

**Proposition 3.9.** *For every  $p \in \omega$   $(R(p), \trianglelefteq)$  is upwards directed and downwards directed.*

*Proof.* Theorem 1.2.(6) and Theorem 1.2.(8) imply that  $(R(p), \trianglelefteq)$  is downwards directed. Now, let  $q = f^\beta(p)$  and  $q' = g^\beta(p)$ , and let  $A \in p$  be such that both  $f \upharpoonright A$  and  $g \upharpoonright A$  are strictly increasing. Let  $a$  be the increasing enumeration of  $A$ . Let  $h$  be an extension of  $a^{-1}$  to  $\omega$ , and let  $r = h^\beta(p)$ . Then both  $f \circ a$  and  $g \circ a$  are strictly increasing and  $q = f^\beta(a^\beta(r))$  and  $q' = g^\beta(a^\beta(r))$ ; hence  $r$  is a common upper bound for  $q$  and  $q'$ .  $\square$

**Corollary 3.10.** *Let  $p \in \omega^*$ . Then the following are equivalent:*

- (1)  $p$  is a Q-point,
- (2)  $(T(p), \trianglelefteq)$  is upwards directed,
- (3)  $(T(p), \trianglelefteq)$  is downwards directed.

*Proof.* If  $p$  is a Q-point, then  $T(p) = R(p)$ , so, by Proposition 3.9, (1) implies (2) and (3).

If  $(T(p), \trianglelefteq)$  is upwards directed then it is downwards directed by Corollary 3.6.

To finish the proof assume that  $(T(p), \trianglelefteq)$  is downwards directed and let  $\{I_n : n \in \omega\}$  be a partition of  $\omega$  into finite sets. Let  $\sigma$  be a permutation on  $\omega$  strictly decreasing on each  $I_n$ . Let  $q = \sigma^\beta(p)$ . As  $(T(p), \trianglelefteq)$  is downwards directed there are  $h, g \in \omega^{\nearrow\omega}$  such that  $h^\beta(p) = g^\beta(q) = g^\beta(\sigma^\beta(p))$ . By Lemma 0.4.(2),  $E_{h, g \circ \sigma} \in p$ , and  $\sigma \upharpoonright E_{h, g \circ \sigma}$  is strictly increasing, hence  $|I_n \cap E_{h, g \circ \sigma}| \leq 1$  for every  $n \in \omega$ , and therefore  $p$  is a Q-point.  $\square$

**Corollary 3.11.**  *$(T(p), \trianglelefteq)$  is linear if and only if  $p$  is selective.*

*Proof.* Follows immediately from Proposition 3.8 and Corollary 3.10.  $\square$

Note that if  $p$  is not a Q-point then  $(T(p), \trianglelefteq)$  decomposes into downwards directed components  $R(q)$ ,  $p \in T(p)$ . The natural questions one would ask are:

- (1) What are the possibilities for the number of components of  $(T(p), \trianglelefteq)$ ?
- (2) What are the possible cofinalities (coinitialities) of  $(T(p), \trianglelefteq)$ ?
- (3) What are the possible lengths of decreasing (increasing) chains in  $(T(p), \trianglelefteq)$ ?

It is not difficult to see that  $(T(p), \trianglelefteq)$  always contains a chain of length  $\mathfrak{b}$ , where  $\mathfrak{b}$  is the minimal length of an unbounded chain in  $\omega^\omega$  ordered by eventual dominance. Similarly, the coinitiality of  $(T(p), \trianglelefteq)$  lies between  $\mathfrak{b}$  and  $\text{cof}(\mathfrak{d})$  for any selective ultrafilter  $p$ . Here  $\mathfrak{d}$  denotes the dominating number of  $\omega^\omega$ .

#### 4. $S(p)$ -pseudocompact spaces and the class of Frolík

Recall that, given a topological space  $X$ , a sequence  $(U_n)_{n < \omega}$  of open subsets of  $X$  is a *Frolík sequence* if for each filter  $\mathcal{G}$  of infinite subsets of  $\omega$ ,

$$\bigcap_{F \in \mathcal{G}} \text{cl}_X \left( \bigcup_{n \in F} U_n \right) \neq \emptyset.$$

The *Frolík class*  $\mathcal{F}$  is the class of productively pseudocompact spaces (i.e, the class of pseudocompact spaces whose product with every pseudocompact space is also pseudocompact). Theorem 3.6 of [F1] shows that a pseudocompact space  $X$  belongs to  $\mathcal{F}$  if and only if each infinite family of pairwise disjoint open subsets of  $X$  contains a subfamily  $(U_n)_{n < \omega}$  which is a Frolík sequence. It is known that a Frolík space is not necessarily  $p$ -pseudocompact for some  $p \in \omega^*$ . On the other hand, in [ST] it was proved that if  $X$  is Frolík, then it is  $P_{RK}(p)$ -pseudocompact for every  $p \in \omega^*$ . We are going to strengthen this result by proving that every space in  $\mathcal{F}$  is  $S(p)$ -pseudocompact for every  $p \in \omega^*$ . First we need a lemma, the proof of which is left to the reader.

**Lemma 4.1.** *Let  $g : \omega \rightarrow \omega$  be a function. Then:*

- (1) *The following assertions are equivalent.*
  - (a) *There exists  $f \in \omega^\omega$  such that  $g \circ f \in \omega \nearrow \omega$ .*
  - (b) *There exists an infinite subset  $N$  of  $\omega$  such that  $g \in N \nearrow \omega$ .*
  - (c)  $|g[\omega]| = \aleph_0$ .
- (2) *There is  $h : \omega \rightarrow \omega$  such that  $h \circ g$  is strictly increasing if and only if  $g$  is one-to-one.*
- (3) *If  $(U_n)_{n < \omega}$  is a Frolík sequence, and  $g : \omega \rightarrow \omega$  is a one-to-one function, then  $(U_{g(n)})_{n < \omega}$  is a Frolík sequence.*

**Theorem 4.2.** *Each space  $X$  in the class of Frolík  $\mathcal{F}$  is  $S(p)$ -pseudocompact for every  $p \in \omega^*$ .*

*Proof.* Let  $p \in \omega^*$  and let  $(U_n)_{n < \omega}$  be a sequence of pairwise disjoint open sets of  $X$ . Since  $X \in \mathcal{F}$ , there exists  $g : \omega \rightarrow \omega$ , an injective function, such that  $(U_{g(n)})_{n < \omega}$  is a Frolík sequence. In view of the definition of a Frolík sequence, any rearrangement of  $(U_{g(n)})_{n < \omega}$  is again a Frolík sequence; so,  $g$  can be taken as a strictly increasing function (Lemma 4.1). Take  $x \in X$  such that

$$x \in \bigcap_{F \in p} \text{cl}_X \left( \bigcup_{k \in F} U_{g(n)} \right) \neq \emptyset.$$

This means that for each neighborhood  $V$  of  $x$  and for each  $F \in p$ , there is  $k = k_F \in F$  such that  $V_k \cap V \neq \emptyset$  where  $V_k = U_{g(k)}$ . If  $H = \{k_F : F \in p\} \notin p$ , then  $\omega \setminus H \in p$ , thus  $k_\omega \setminus H$  is an element of both  $H$  and  $\omega \setminus H$ , which is not possible; so  $H \in p$ , and this implies that  $x = p\text{-lim}(U_{g(n)}) = g^\beta(p)\text{-lim}(U_n)$  (Lemma 0.9). Since  $g$  is strictly increasing, we have proved what we wanted. □

Letting  $X = \prod_{p \in \omega^*} (\beta(\omega) \setminus \{p\})$  produces a Frolík space, hence  $S(p)$ -pseudocompact for every  $p \in \omega^*$ , which is not  $q$ -pseudocompact for any  $q \in \omega^*$  (see Example 2.9 in [ST1]).

The subclass  $\mathcal{F}^*$  of  $\mathcal{F}$  is defined as the class of spaces  $X$  with the property that each sequence of disjoint open sets in  $X$  has a subsequence such that each of its elements meets some fixed compact set. This class was introduced and studied by N. Noble in [N]. In particular, Noble showed that  $X \in \mathcal{F}^*$  whenever the set  $X$  endowed with the weak topology induced by the real-valued functions on  $X$  which are continuous on all compact subsets of  $X$ ,  $k_R X$ , is pseudocompact. Thus, pseudocompact spaces which are locally compact or sequential are  $S(p)$ -pseudocompact for every  $p \in \omega^*$ . A space  $X$  is a  $k_R$ -space if  $X = k_R X$ . Noble also proved in [N] that every completely regular space can be embedded as a closed subspace of a pseudocompact  $k_R$ -space. Hence:

**Theorem 4.3.** *Every pseudocompact space can be embedded as a closed subspace of a space which is  $S(p)$ -pseudocompact for every  $p \in \omega^*$ . So, if  $M \supset S(p)$ ,  $M$ -pseudocompactness is not inherited by closed subsets.*

**Problem 4.4.** Give an example of a space which is  $S(p)$ -pseudocompact for every  $p \in \omega^*$  and does not belong to  $\mathcal{F}$ .

In the same vein we can characterize the  $S(p)$ -pseudocompact spaces having all of their closed subsets sharing this property. We omit the proof because it is similar to that given for Theorem 2.8 in [ST1].

**Theorem 4.5.** *Let  $X$  be a topological space and let  $M$  be one of the sets  $S(p)$ ,  $R(p)$ ,  $T(p)$ ,  $P_{RB}(p)$ ,  $P_{RK}(p)$ . Then, every closed subset of  $X$  is  $M$ -pseudocompact if and only if  $X$  is  $M$ -compact.*

Using a similar demonstration to that given for Theorem 2.10 in [ST1] we obtain:

**Theorem 4.6.** *Let  $p \in \omega^*$  and  $M$  be one of the sets  $S(p)$ ,  $R(p)$ ,  $T(p)$ ,  $P_{RB}(p)$  or  $P_{RK}(p)$ . Then, a pseudocompact space  $X$  is  $M$ -pseudocompact if and only if it is locally  $M$ -pseudocompact.*

**Corollary 4.7.** *Let  $p \in \omega^*$  and  $M$  one of the sets  $S(p)$ ,  $R(p)$ ,  $T(p)$ ,  $P_{RB}(p)$  or  $P_{RK}(p)$ . Then, each open pseudocompact subset of an  $M$ -pseudocompact space is  $M$ -pseudocompact.*

**Corollary 4.8.** *Let  $p \in \omega^*$  and  $M$  one of the sets  $S(p)$ ,  $R(p)$ ,  $T(p)$ ,  $P_{RB}(p)$  or  $P_{RK}(p)$ . Then, a free topological sum  $X = \bigoplus_{\alpha \in A} X_\alpha$ , where  $X_\alpha \neq \emptyset$ , is  $M$ -pseudocompact if and only if each  $X_\alpha$  is  $M$ -pseudocompact and  $|A| < \aleph_0$ .*

## 5. $R(p)$ -pseudocompactness of subspaces of $\beta(\omega)$

Given a collection  $\mathcal{M}$  of elements of  $\omega^\omega$  and  $p \in \omega^*$ , we will denote by  $M(p)$  the set  $\{f^\beta(p) : f \in \mathcal{M}\}$ . A subcollection  $\mathcal{M}$  of  $\omega^\omega$  is a  $p$ -*si-ideal*, for a  $p \in \omega^*$ , if  $id \in \mathcal{M}$  and for each  $g \in \mathcal{M}$  and each  $f \in {}^\omega \nearrow \omega$ ,  $(f \circ g)^\beta(p) \in M(p)$ . We will say that  $\mathcal{M}$  is a *strong- $p$ -si-ideal* if  $id \in \mathcal{M}$  and for every  $(\psi, A, f)$

$\in \mathcal{M} \times p \times P(\omega \nearrow \omega)$  with  $\psi[A] \subset \text{dom}(f)$ , there exist  $g \in \mathcal{M}$  and  $B \in p$  such that  $g \upharpoonright B = f \circ (\psi \upharpoonright B)$ .

Each strong- $p$ -*si*-ideal is a  $p$ -*si*-ideal. The collections  $\bigcup\{A \nearrow \omega : A \in p\}$ ,  $\text{Sym}(\omega)$ ,  $Fo(\omega)$ ,  $Nd(\omega)$  and  $\omega^\omega$  are strong- $p$ -*si*-ideals for each  $p \in \omega^*$ , and  $\omega \nearrow \omega$  is a  $p$ -*si*-ideal which is not a strong- $p$ -*si*-ideal for every  $p \in \omega^*$  (see Lemma 0.11 and Corollary 0.12).

In [GF] it was proved that for  $p \in \omega^*$  and  $\omega \subset X \subset \beta(\omega)$ ,  $X$  is  $p$ -pseudo-compact if and only if  $P_{RK}(p) \subset X$ . Moreover, in [ST] the proposition: a subset  $X$  of  $\beta(\omega)$  containing  $\omega$  is  $P_{RK}(p)$ -pseudocompact if and only if  $X \cap P_{RK}(p)$  is dense in  $\omega^*$ , was proved. We provide an analogous result for  $R(p)$ ,  $I(p)$ ,  $T(p)$  and  $P_{RB}(p)$ . The proof of this assertion will be given by demonstrating several lemmas.

**Lemma 5.1.** *Let  $M$  be a subset of  $\omega^*$ . A subset  $X$  of  $\beta\omega$  containing  $\omega$  is  $M$ -pseudo-compact if and only if for every one-to-one function  $f \in \omega^\omega$ , there is  $p \in M$  such that  $f^\beta(p) \in X$ .*

*Proof.* Assume that  $X$  is  $M$ -pseudocompact and let  $f \in \omega^\omega$  be a one-to-one function.  $(\{f(n)\})_{n < \omega}$  is a sequence of disjoint open subsets of  $X$ . So, there are  $x \in X$  and  $p \in M$  such that  $x = p\text{-lim}(f(n))$ . This means  $f^\beta(p) = x \in X$  (Lemma 0.6).

The converse implication is also true because if  $(A_n)_{n < \omega}$  is a sequence of disjoint open subsets of  $X$ , we can choose a point  $a_n \in A_n \cap \omega$  for each  $n < \omega$ . The function  $f$  defined by  $f(n) = a_n$  is a one-to-one function; so, there is  $p \in M$  such that  $x = f^\beta(p) \in X$ . Thus,  $x$  is a  $p$ -limit point of  $(A_n)_{n < \omega}$ .  $\square$

**Lemma 5.2.** *Let  $X \subset \beta(\omega)$  with  $\omega \subset X$ ,  $p \in \omega^*$  and let  $\mathcal{M} \subset \omega^\omega$  be a  $p$ -*si*-ideal. If  $X$  is  $M(p)$ -pseudocompact, then  $X \cap (M(p) \cup \omega)$  is  $M(p)$ -pseudocompact.*

*Proof.* Let  $g : \omega \rightarrow \omega$  be a one-to-one function. There is an infinite set  $T \subseteq \omega$  such that  $g \upharpoonright T$  is a strictly increasing function. Consider the sequence  $((g \circ t)(n))_{n < \omega}$  (see Convention 0.10) and note that  $g \circ t$  is a strictly increasing function from  $\omega$  to  $\omega$ . As  $X$  is  $M(p)$ -pseudocompact, there exist  $x \in X$  and  $r \in M(p)$  such that  $(g \circ t)^\beta(r) = x$ . As  $r \in M(p)$ , there is a function  $h \in \mathcal{M}$  such that  $h^\beta(p) = r$ . So:

$$(g \circ t \circ h)^\beta(p) = (g \circ t)^\beta(h^\beta(p)) = (g \circ t)^\beta(r) = x.$$

Let  $s = (t \circ h)^\beta(p)$ . As  $t$  and  $g \circ t$  are strictly increasing,  $h \in \mathcal{M}$  and  $\mathcal{M}$  is a  $p$ -*si*-ideal, then  $s$  and  $x$  are elements of  $M(p)$ . Moreover,  $g^\beta(s) = x$ . That is,  $x = s\text{-lim}(g(n))$ .  $\square$

**Lemma 5.3.** *Let  $X \subset \beta(\omega)$  with  $\omega \subset X$ ,  $p \in \omega^*$  and  $M$  a subset of  $\beta(\omega)$  be given. If  $X \cap (M \cup \omega)$  is  $M$ -pseudocompact, then  $(X \cap M) \setminus \omega$  is dense in  $\omega^*$ .*

*Proof.* Assume that  $X \cap (M \cup \omega)$  is  $M$ -pseudocompact. Let  $A$  be an infinite subset of  $\omega$ . We are going to check that  $A^* \cap X \cap M \neq \emptyset$ . By the hypothesis there are  $q \in M$  and  $x \in X \cap (M \cup \omega)$  such that  $x = q\text{-lim}(a(n))$ . So,  $a^\beta(q) = x$ . (Note that  $x$  must belong to  $\omega^*$  as  $a$  is one-to-one.)

To see that  $x$  is also an element of  $A^*$  let  $B \in x$ . Since  $a^\beta(q) = x$ , then  $a^{-1}(B) \in q$ . Thus  $a^{-1}(B) \neq \emptyset$ , then  $B \cap A \neq \emptyset$ . But this is true for all  $B \in x$ , so  $A \in x$ . So,  $x \in A^*$ .  $\square$

**Lemma 5.4.** *Let  $X \subset \beta(\omega)$  with  $\omega \subset X$ ,  $p \in \omega^*$  and a strong- $p$ -si-ideal  $\mathcal{M}$  be given. If  $(X \cap M(p)) \setminus \omega$  is dense in  $\omega^*$ , then  $X$  is  $M(p)$ -pseudocompact.*

*Proof.* Let  $g \in \omega^\omega$  be a one-to-one function. Say  $g(n) = x_n$ . We will find  $r_g \in M(p)$  such that  $g^\beta(r_g) \in X$ . There is an infinite subset  $T$  of  $\omega$  on which  $g$  is strictly increasing. Denote by  $f$  the composition  $g \circ t$ ; that is,  $f(n) = x_{t(n)}$ . Of course,  $f$  and  $t$  are elements of  ${}^\omega \nearrow \omega$ . Consider the set  $A = \{f(n) : n < \omega\}$ . By the hypothesis there is  $x_g \in X \cap M(p) \cap A^*$ . Let  $\psi$  be an element of  $\mathcal{M}$  such that  $\psi^\beta(p) = x_g$ . Now, take the set  $\psi^{-1}(A)$ , which is infinite as  $A \in x_g$  and  $\psi^\beta(p) = x_g$ , so  $\psi^{-1}(A) \in p \in \omega^*$ . Let  $\phi : \omega \rightarrow \omega$  be defined by  $\phi(n) = m$  if  $\psi(n) = f(m)$ , and  $\phi(n) = 0$  if  $n \notin \psi^{-1}(A)$ . The function  $\phi \upharpoonright \psi^{-1}(A)$  is equal to  $f^{-1} \circ (\psi \upharpoonright \psi^{-1}(A))$ . As  $\psi \in \mathcal{M}$ ,  $f^{-1} \in P({}^\omega \nearrow \omega)$ ,  $\psi^{-1}(A) \in p$ , and  $\mathcal{M}$  is a strong- $p$ -si-ideal, there exists  $\chi \in \mathcal{M}$  such that  $r_g = \phi^\beta(p) = \chi^\beta(p) \in M(p)$  (see Lemma 0.4.(1)).

*Claim 1.*  $x_g = r_g$ - $\lim(f(n)) = r_g$ - $\lim(x_{t(n)})$ .

Let  $B \in x_g$ . We have to show that  $f^{-1}(B) \in r_g$ . Since  $\phi^\beta(p) = r_g$ , it is enough to prove that  $\phi^{-1} f^{-1}(B) \in p$ . In order to do this we prove:

*Claim 2.*  $\phi^{-1} f^{-1}(B \cap A) \supset \psi^{-1}(B \cap A)$ .

Let  $n \in \psi^{-1}(B \cap A)$ . So,  $\psi(n) = f(m)$  for some  $m \in \omega$ . This means that  $\phi(n) = m$ . Then  $f(\phi(n)) = f(m) \in B \cap A$ . So,  $\phi(n) \in f^{-1}(B \cap A)$ . Therefore,  $n \in \phi^{-1} f^{-1}(B \cap A)$  and Claim 2 follows.

Since  $B \cap A \in x_g = \psi^\beta(p)$ ,  $\psi^{-1}(B \cap A) \in p$ . So,  $\phi^{-1} f^{-1}(B) \in p$ . Therefore  $x_g = r_g$ - $\lim(f(n))$  and the proof of Claim 1 is finished.

By Lemma 0.9 this last equality means that  $x_g = q$ - $\lim(x_n)$  where  $q = t^\beta(r_g)$ . So,  $q = t^\beta(\chi^\beta(p))$ . However,  $t \in {}^\omega \nearrow \omega$ ,  $\chi \in \mathcal{M}$  and  $\mathcal{M}$  is a strong- $p$ -si-ideal, so  $q \in M(p)$ .  $\square$

This sequence of lemmas produces the following four theorems.

**Theorem 5.5.** *Let  $p \in \omega^*$  and  $X \subset \beta(\omega)$  with  $\omega \subset X$ . Let  $\mathcal{M}$  be a strong- $p$ -si-ideal. Then, the following are equivalent.*

- (1)  $X$  is  $M(p)$ -pseudocompact.
- (2)  $X \cap (M(p) \cup \omega)$  is  $M(p)$ -pseudocompact.
- (3)  $X \cap M(p) \setminus \omega$  is dense in  $\omega^*$ .

As  $\bigcup\{{}^A \nearrow \omega : A \in p\}$ ,  $\text{Sym}(\omega)$ ,  $\text{Fo}(\omega)$ ,  $\text{Nd}(\omega)$  and  $\omega^\omega$  are strong- $p$ -si-ideals ( $p \in \omega^*$ ), we obtain:

**Theorem 5.6.** *Let  $p \in \omega^*$ . Let  $M$  be one of the sets  $R(p)$ ,  $I(p)$ ,  $T(p)$ ,  $P_{RB}(p)$  or  $P_{RK}(p)$  and let  $X \subset \beta(\omega)$  with  $\omega \subset X$ . Then, the following are equivalent.*

- (1)  $X$  is  $M$ -pseudocompact.
- (2)  $X \cap (M \cup \omega)$  is  $M$ -pseudocompact.
- (3)  $X \cap M \setminus \omega$  is dense in  $\omega^*$ .

In particular,  $R(p) \cup \omega$  (resp.,  $I(p)$ ,  $T(p) \cup \omega$ ,  $P_{RB}(p)$ ,  $P_{RK}(p)$ ) is an example of an  $R(p)$ -pseudocompact (resp.,  $I(p)$ -pseudocompact,  $T(p)$ -pseudocompact,  $P_{RB}(p)$ -pseudocompact,  $P_{RK}(p)$ -pseudocompact) space.

**Theorem 5.7.** *Let  $p \in \omega^*$ ,  $X \subset \beta(\omega)$  with  $\omega \subset X$ , and let  $\mathcal{M} \subset \omega^\omega$  be a  $p$ -si-ideal. Then, if  $X$  is  $M(p)$ -pseudocompact, then  $X \cap (M(p) \cup \omega)$  is  $M(p)$ -pseudocompact. In particular,  $X \cap M(p)$  is dense in  $\omega^*$ .*

**Theorem 5.8.** *Let  $p \in \omega^*$ . Let  $\omega \subset X \subset \beta(\omega)$ . If  $X$  is  $S(p)$ -pseudocompact, then  $X \cap (S(p) \cup \omega)$  is  $S(p)$ -pseudocompact and  $X \cap S(p)$  is dense in  $\omega^*$ .*

**Proposition 5.9.** *Let  $X$  be a subset of  $\beta(\omega)$  containing  $\omega$ . If  $X \supset S(p)$ , then  $X$  is an  $S(p)$ -pseudocompact space.*

*Proof.* Let  $f \in \omega^\omega$  be one-to-one. There is an infinite  $T \subseteq \omega$  such that  $f \upharpoonright T$  is strictly increasing. So,  $r = t^\beta(p) \in S(p)$ . Moreover,  $f \circ t$  is strictly increasing, so  $q = (f^\beta \circ t^\beta)(p) \in S(p)$ . By Lemma 0.6  $q = r\text{-lim}(f(n))$ .  $\square$

**Problems 5.10.** (1) Are the propositions in Theorem 5.7 equivalent?  
(2) Is the converse in Proposition 5.9 true?

In the following examples,  $Q_1, Q_2, Q_3, Q_4$  denote the set of non-rapid ultrafilters,  $Q$ -points, selective ultrafilters and semi- $P$ -points in  $\omega^*$ , respectively.

*Examples 5.11.* (1) There is a space  $X_1$  which is  $R(p)$ -pseudocompact for every  $p \in \omega^* \setminus Q_1$  and it is not  $S(p)$ -pseudocompact for any  $p \in \omega^* \setminus Q_1$ .  
(2) There is a space  $X_2$  which is  $T(p)$ -pseudocompact for every  $p \in \omega^* \setminus Q_2$  and it is not  $I(p)$ -pseudocompact for any  $p \in \omega^* \setminus Q_2$ .  
(3) There is a space  $X_3$  which is  $I(p)$ -pseudocompact for every  $p \in \omega^* \setminus Q_2$  and it is not  $T(p)$ -pseudocompact for any  $p \in \omega^* \setminus Q_2$ .  
(4) There is a space  $X_4$  which is  $P_{RK}(p)$ -pseudocompact for every  $p \in \omega^* \setminus Q_3$  and it is not  $T(p)$ -pseudocompact for any  $p \in \omega^* \setminus Q_3$ .  
(5) There is a space  $X_5$  which is  $P_{RK}(p)$ -pseudocompact for every  $p \in \omega^* \setminus Q_3$  and it is not  $I(p)$ -pseudocompact for any  $p \in \omega^* \setminus Q_3$ .  
(6) There is a space  $X_6$  which is  $P_{RK}(p)$ -pseudocompact for every  $p \in \omega^* \setminus Q_4$  and it is not  $P_{RB}(p)$ -pseudocompact for any  $p \in \omega^* \setminus Q_4$ .  
(7) There is a space  $X_7$  which is  $S(p)$ -pseudocompact for every  $p \in \omega^*$  and it is not  $p$ -pseudocompact for any  $p \in \omega^*$ .

*Proof.* For  $i \in \{1, 2, 3, 4\}$ , let  $K_i$  be the one-point compactification of the space  $\bigoplus_{p \in \omega^* \setminus Q_i} \beta\omega_p$  where  $\beta\omega_p$  is a copy of  $\beta\omega$  for every  $p \in \omega^* \setminus Q_i$ , and denote by  $\infty_i$  the point which compactifies  $\bigoplus_{p \in \omega^* \setminus Q_i} \beta\omega_p$  in  $K_i$ . Since  $M$ -pseudocompactness is inherited by regular closed sets, and using Theorem 5.6, we easily get that subspace  $X_1 = \{\infty_1\} \cup \bigoplus_{p \in \omega^* \setminus Q_1} (\beta\omega_p \setminus I(p))$ , subspaces  $X_2 = \{\infty_2\} \cup \bigoplus_{p \in \omega^* \setminus Q_2} (\beta\omega_p \setminus I(p))$  and  $X_3 = \{\infty_2\} \cup \bigoplus_{p \in \omega^* \setminus Q_2} (\beta\omega_p \setminus T(p))$  of  $K_2$ , subspaces  $X_4 = \{\infty_3\} \cup \bigoplus_{p \in \omega^* \setminus Q_3} (\beta\omega_p \setminus T(p))$  and  $X_5 = \{\infty_3\} \cup \bigoplus_{p \in \omega^* \setminus Q_3} (\beta\omega_p \setminus I(p))$  of  $K_3$ , and subspace  $X_6 = \{\infty_4\} \cup \bigoplus_{p \in \omega^* \setminus Q_4} (\beta\omega_p \setminus P_{RB}(p))$  of  $K_4$ , satisfy the requirements.

Space  $X_7 = \prod_{p \in \omega^*} (\beta\omega \setminus \{p\})$  is  $S(p)$ -pseudocompact space for every  $p \in \omega^*$  but it is not  $q$ -pseudocompact for any  $q \in \omega^*$  (see Example 2.9 in [ST]).  $\square$

On the other hand, there are some wide classes of spaces where all properties considered in our discussion in this article coincide: A space  $X$  is *ultracompact* (resp., *ultrapseudocompact*) if every sequence of points (resp., every sequence of non empty open sets) in  $X$  has a  $q$ -limit for every  $q \in \omega^*$ . We denote by  $C_\pi(X)$  the set of continuous function from  $X$  to the real numbers with the pointwise convergence topology, and  $C_\pi(X, [0, 1])$  is the subspace of elements in  $C_\pi(X)$  with values in the unit interval  $[0, 1]$ . It was proved in [ST1] that: (1) A generalized linearly ordered topological space (GLOTS)  $X$  is ultracompact if and only if  $X$  is pseudocompact; (2)  $C_\pi(X, [0, 1])$  is ultrapseudocompact if and only if it is  $\sigma$ -pseudocompact; and (3)  $C_\pi(X)$  is  $\sigma$ -ultrapseudocompact if and only if  $C_\pi(X)$  is  $\sigma$ -pseudocompact. So, if  $M, N \subset \omega^*$  we have: (i) For a GLOTS  $X$ ,  $X$  is  $M$ -compact if and only if  $X$  is an  $N$ -pseudocompact space; (ii)  $C_\pi(X, [0, 1])$  is  $M$ -pseudocompact if and only if it is  $\sigma$ - $N$ -pseudocompact; and (iii)  $C_\pi(X)$  is  $\sigma$ - $M$ -pseudocompact if and only if  $C_\pi(X)$  is  $\sigma$ - $N$ -pseudocompact.

As it was pointed out in [ST1], there are spaces  $X$  for which  $C_\pi(X, [0, 1])$  is ultrapseudocompact but not  $p$ -compact for any  $p \in \omega^*$ . So,  $p$ -compactness and  $p$ -pseudocompactness are not equivalent properties in the class of topological groups. On the other hand, for topological groups,  $p$ -pseudocompactness and  $P_{RK}(p)$ -pseudocompactness are equivalent. In fact, for topological groups, pseudocompactness and  $M$ -pseudocompactness, with  $M \subset \omega^*$ , are equivalent properties ([GFS]).

*Example 5.12.* There is a non-Frolík space which is  $R(p)$ -pseudocompact for every  $p \in \omega^*$ .

*Proof.* For each  $p \in \omega^*$  and each open subset  $O$  of  $\omega^*$ ,  $|R(p) \cap O| = 2^\omega$  (Actually,  $|S(p) \cap O| = 2^\omega$ ). It follows immediately from the fact that  $S(p)$  is dense and the fact that every open subset of  $\omega^*$  has cellularity  $2^\omega$ . Let  $\mathcal{B} = \{B_\lambda : \lambda < 2^\omega\}$  be a base of  $\omega^*$ . Recursively choose for each  $\lambda < 2^\omega$  and each  $p \in \omega^*$  two different points  $a_\lambda^p, b_\lambda^p$  in  $B_\lambda \cap R(p)$ , in such a way that  $a_\lambda^p \notin \{b_\gamma^p : \gamma < \lambda\}$  and  $b_\lambda^p \notin \{a_\gamma^p : \gamma \leq \lambda\}$ . Let  $X = \omega \cup \{a_\lambda^p : \lambda < 2^\omega, \text{ and } p \in \omega^*\}$  and  $Y = \omega \cup \{b_\lambda^p : \lambda < 2^\omega, \text{ and } p \in \omega^*\}$ , both with its topology inherited by  $\beta\omega$ . By Theorem 5.6,  $X$  and  $Y$  are  $R(p)$ -pseudocompact for every  $p \in \omega^*$ , but  $X \times Y$  is not pseudocompact because the sequence of open subsets  $\{(n, n)\}$  does not have any accumulation point in  $X \times Y$ . So  $X$  and  $Y$  do not belong to the class of Frolík  $\mathcal{F}$ .  $\square$

**Problem 5.13.** Is there a non Frolík space which is  $S(p)$ -pseudocompact for every  $p \in \omega^*$ ?

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